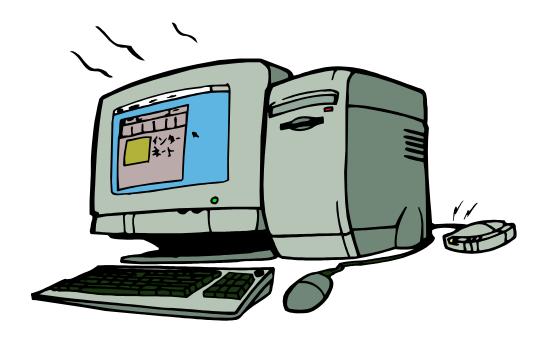
ELECTRONIC CASE FILING CM/ECF



User's Manual Trainers Version

District of Minnesota (Revised April 13, 2004)

Table of Contents

Getting Started	4
Introduction	4
Help Desk	
Access to ECF Information and Resources	4
ECF System Capabilities	
Requirements	
Hardware and Software Requirements	
PACER Registration	
Registering for Access to ECF	
Preparation	
Setting Up the Acrobat PDF Reader	6
Portable Document Format (PDF)	6
How to View a PDF File	
How to Convert Documents to PDF Format	
Basics	
User Interactions	9
Conventions used in this Manual:	9
Documents Filed In Error	10
User's Manual	10
A Step-By-Step Guide	
How to Access the System	
Logging In	
Selecting ECF Features	
Civil Events Feature	
General Rules and Manipulations.	
Manipulating the screens	
Correcting a mistake:	
Signatures; Affidavits of Service	
Filing a Civil Complaint	
Filing Documents for Civil Cases	
Filing an Answer in a Civil Cases	
1. Select the type of document to file	
2. Enter the case number in which the document is to be filed.	
3. Designate the party(s) filing the document	
4. The Attorney/Party Associations Window	
6. Add attachments to documents being filed	
When attachments and exhibits are in paper format	22
7. Counterclaim, Cross-Claim or Third-Party Complaint?	
8. (Optional) Third Party, Cross-Claim or Counterclaim Additional Information	
9. Jury Demand	
11. Modify docket text	
12. Submit the pleading	
13. Notice of Electronic Filing	
E-Mail Notification of Filed Documents	
Certificate of Service	
Filing a Motion in Civil Cases	
Select the type of document to file Enter the case number in which the document is to be filed	28
Select the type of Motion being filed. Designate the party(s) filing the document	
5. Specify the PDF file name and location for the document to be filed	
6. Add attachments to documents being filed	
When attachments and exhibits are in paper format.	
7. Modify docket text	
8. Submit the pleading.	
9. Notice of Electronic Filing	
E-Mail Notification of Filed Documents	
Certificate of Service	
Filing a Notice of Motion	
Select the type of document to file	37
2. Enter the case number in which the document is to be filed.	37
3. Select the sub-type of notice being filed	37
CM/ECF User's Manual (April 13, 2004) 1 District of Minnesota	

4. Specify the PDF file name and location for the document to be filed	
5. Add attachments to documents being filed	
When attachments and exhibits are in paper format	
6. Motion Reminder	
7. Motion Hearing Date/Time	
8. Submit the pleading	
Notice of Electronic Filing E-Mail Notification of Filed Documents	
Certificate of Service	
Filing a Memorandum in Support of a Motion in Civil Cases	
Select the type of document to file	
2. Enter the case number in which the document is to be filed.	44
3. Select the type of Other Filing being filed.	
4. Selecting the Filer	
5. Specify the PDF file name and location for the document to be filed	45
5. Add attachments to documents being filed	
When attachments and exhibits are in paper format	
6. Refer to an Existing Event?	49
7. Modify docket text	
8. Submit the pleading	
9. Notice of Electronic Filing	
E-Mail Notification of Filed Documents	
Certificate of Service	
Filing an Affidavit in Support of a Motion in Civil Cases	
1. Select the type of document to file	
2. Enter the case number in which the document is to be filed	
3. Select the type of Other Filing being filed.	
Selecting the Filer Specify the PDF file name and location for the document to be filed	
5. Add attachments to documents being filed	
When attachments and exhibits are in paper format.	
6. Refer to an Existing Event?	
7. Modify docket text	
8. Submit the pleading	
9. Notice of Electronic Filing	
E-Mail Notification of Filed Documents	59
Certificate of Service	59
Filing Exhibits in Civil Cases	60
Select the type of document to file	
2. Enter the case number in which the document is to be filed	
3. Select the Docket Sub-type.	
4. Specify the PDF file name and location for the document to be filed	
5. Add attachments to documents being filed	
When attachments and exhibits are in paper format	
6. Selecting the Filer	
7. Modify docket text	
Submit the pleading Notice of Electronic Filing	
E-Mail Notification of Filed Documents	
Certificate of Service	
Filing a Certificate of Service in Civil Cases	
Select the type of document to file	
2. Enter the case number in which the document is to be filed.	
3. Select the Docket Sub-type.	
4. Specify the PDF file name and location for the document to be filed	
5. Add attachments to documents being filed	
When attachments and exhibits are in paper format	
6. Selecting the Filer	
7. Refer to an Existing Event?	
7. Modify docket text	
8. Submit the pleading	
9. Notice of Electronic Filing	
E-Mail Notification of Filed Documents	
Certificate of Service	
Linking Documents (Refer to existing event)	77

Query Feature	79
Associated Cases - Displays any cases associated with the specified case. Click on the number of an	
associated case to view its docket sheet.	
Attorney	
Case Summary	
Deadlines/Hearings	
Docket Report	
History/Documents	
Parties	
Filers	
Related Transactions	
Reports Feature	
Docket Sheet	
Civil Cases Report	
Judgment Index Report	
New Case Report	
Utilities Feature	
Your Account	
ECF Login	
Click	89
Maintain Your Account	
View Your Transaction Log	
View Your Transaction Log.	
From the Utilities screen, click on the [Change Your PACER Login] link. ECF brings you to the PA	
login window	
Change Client Code	
This feature allows the PACER billing client code to change to a different code	
Change PACER Login	
Attorneys and filers can use this option to switch between more than one PACER accounts	
Brings filers back to the login screen.	
Miscellaneous	
Logout	
Additional Information Regarding ECF	
Sealed Documents Contificate of Source Source	
Certificate of Service Sample	
Filing Options for Attorneys Initial Pleadings and Service	
IIIIUAI F ICAUIII28 AIIU SCI VICE	yɔ

Electronic Case Files System User's Manual

Getting Started

Introduction

This manual provides instructions on how to use the Electronic Filing System to file documents with the court, or to view and retrieve docket sheets and documents for all cases assigned to this system. Users should have a working knowledge of word processing, web browsers, file management and Adobe Acrobat.

TRAINER NOTE:

This is the time the helpdesk is open. If customers file after 5:00 p.m. and experience difficulties the court cannot assist. It is recommended filings occur during regular business hours if you expect to need court assistance

Help Desk

Contact the Court's Help Desk between the hours of 8:00 a.m. and 5:00 p.m., Monday through Friday, if you need assistance using ECF.

Toll Free Help Desk

1-866-325-4975 or 612-664-5155

OR

E-mail:

ecfhelpdesk@mnd.uscourts.gov

Access to ECF Information and Resources

For up-to-date information on ECF, visit the U.S. District Court web site at:

www.mnd.uscourts.gov

- View or download the most recent version of the User's and Procedural Manuals
- Self-train on a web-based ECF Tutorial
- Practice entering pleadings into ECF using a "training" database that is similar to the official live ECF database
- Review Frequently Asked Questions (FAQs) page
- View CM/ECF Introductory video

ECF System Capabilities

The electronic filing system allows registered participants with Internet accounts and web browsing software to perform the following functions:

- Electronically file pleadings and documents in actual ("live") cases
- View official docket sheets and documents associated with cases
- View various reports for cases that were filed electronically

Requirements

Hardware and Software Requirements

The hardware and software needed to electronically file, view and retrieve case documents are the following:

TRAINER NOTE:

The court cannot recommend what PDF software to purchase. The website and this manual have some options. Other web browsers such as AOL. mozilla, and etc. can be used, but these are the certified browers.

- A personal computer running a standard platform such as Windows or Macintosh.
- A PDF-compatible word processor like Macintosh or Windowsbased versions of WordPerfect or Word.
- An Internet Service provider.
- Netscape Navigator version 4.6 or 4.7,(Netscape 6 is not recommended for use with CM/ECF,) or Internet Explorer 5.5 or 6.0. Netscape Version 7 is currently being tested to certify compatibility. Some users have had positive results with these versions.
- Software, such as Adobe Acrobat Writer or pdfFactory, to convert documents from a word processor format to portable document format (PDF).
- Adobe Acrobat Reader, which is available for free, is needed for viewing PDF documents.
- A scanner to transmit documents that are not in your word processing system. Note: This would only be used for documents that cannot be produced electronically. Scanned documents lessen the level of service we provide by slowing down the entire system. We are moving away from the utilization of scanned documents internally and are asking you to do the same whenever possible.

TRAINER NOTE:

If you must scan, please, if possible, make the doc. searchable.

TRAINER NOTE:

Login into ECF as an attorney and show the difference between ECF and PACER use.

PACER Registration

ECF users must have a PACER account with the Court in order to use the Query and Report features of the ECF system. If you do not have a PACER login, contact the PACER Service Center to establish an account. You may call the PACER Service Center at (800) 676-6856 or (210) 301-6440 for information or to register for an account. Also, you may register for PACER online at http://pacer.psc.uscourts.gov.

Registering for Access to ECF

TRAINER NOTE:

The current procedure is to call the court and we can set it up for you and send the login/password. by e-mail. It will be an online registration form in the future.

Participants will need to register with the court to receive a login and password for the ECF system. Registration information is available on our web site at http://www.mnd.uscourts.gov/cmecf.

Once an account has been established, the Office of the Clerk will send your login and password to you by regular, first-class mail or by e-mail. Note this information must be sent directly to the attorney of record and not to support staff.

Registered users can visit a training version of the system on the Internet at https://ecf-train.mnd.uscourts.gov to practice ECF activities. We strongly recommend that registered users practice in the "training" ECF database before filing documents in the "live" ECF database.

Preparation

Setting Up the Acrobat PDF Reader

Users must set up Adobe's Acrobat Reader software in order to view documents that have been electronically filed on the system. All pleadings must be filed in PDF format. When installing this product, please review and follow Adobe's directions to utilize Acrobat Reader after installation.

Portable Document Format (PDF)

TRAINER NOTE:

You may demonstrate how a PDF document appears.

Only PDF (Portable Document Format) documents may be filed with the court using the ECF system. Before sending the file to the court, users should preview the PDF formatted document to ensure that it appears in its entirety and in the proper format.

How to View a PDF File

- Start the Adobe Acrobat program.
- Go to the *File* menu and choose *Open*.
- Click on the location and file name of the document to be viewed.
- If the designated location is correct, and the file is in PDF format, Adobe Acrobat Exchange loads the file and displays it on the screen.

TRAINER NOTE:

If you try and upload a non PDF you will receive an error message.

- If the displayed document is larger than the screen or consists of multiple pages, use the scroll bars to move through the document.
- Click on the *View* menu for other options for viewing the displayed document. Choose the option that is most appropriate for the document.

How to Convert Documents to PDF Format

You must convert all of your documents to PDF format before submitting them to the Court's Electronic Case Filing (ECF) system. The conversion process requires special software such as Adobe Acrobat Writer or FinePrint pdfFactory. WordPerfect versions 9 and 10 have Acrobat Writer built-in and can also be used to convert documents to PDF. The District Court of MN does not care what software is used to convert the file. Other options besides Adobe Acrobat include using the features already available within your word processing software, or any of the following:

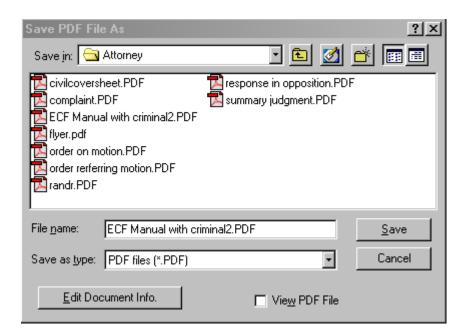
BCL easy PDF 3.1 eDocPrinter PDF Pro 5.58 eXPert PDF Pritner 2.0 FinePrint pdfFactory PRO 1.57 Jaws PDF Creater 3.0 LeadTools ePrint 3.0 1-Step RoboPDF 3.0 beta pdf995 and pdfEdit 995 PDF-XChange 2.5 PowerPDF 2.0 602Pro Print Pack 2002 Win2PDF Pro

Using any word processing program convert the file to PDF by:

TRAINER NOTE:

Open the convert document in the attorney training folder. Discuss the date and signature block. Convert the file and then open it to view it. Save in the same folder.

- Installing Acrobat Writer, FinePrint pdfFactory, or any other software with the capability of converting documents to PDF on your computer.
- Open the document to be converted.
- Select the [**Print**] option (generally found in the File menu) and in the dialog box select the option to change the current printer. A drop down menu with a list of printer choices is displayed.
- Select Adobe PDFWriter or FinePrint pdfFactory*



- "Print" the file. The file should not actually print out; instead the option to save the file as a PDF format file appears.
- Make a note of the file location so you can find the document later when you are ready to upload it. Change the location if necessary by clicking in the "Save in" area of the window.
- Name the file, giving it the extension ".PDF" and click the [Save] button.

Depending on the word processing program being used, it may be necessary to find the printer selection option elsewhere. At that point, change the printer to Adobe PDFWriter, and follow the directions above.

^{*}You must have either Adobe Acrobat Writer or FinePrint pdfFactory installed on your computer to see these choices listed.

Basics

User Interactions

There are three general types of user interactions allowed by the system:

TRAINER NOTE:

Web-friendly program. We compare it to shopping on the Internet.

- Entering information in data fields.
- Using command buttons to direct system activities.
- Mouse-clicking on hyperlinks.

Conventions used in this Manual:

- Data to be entered by the user is shown enclosed in angle brackets: <data to be entered>.
- Command buttons are represented in this manual in [bracketed boldface type].
- Hyperlinks are represented in <u>underlined boldface type</u>.

Documents Filed In Error

A document incorrectly filed in a case may result from a) posting the wrong PDF file to a docket entry; b) selecting the wrong document type from the menu; or c) entering the wrong case number and not discovering the error before completing the transaction.

TRAINER NOTE:

The court is being pretty strict on its policy to make changes to the court record. Not altering the court record.

Generally, the U.S. District Court of Minnesota will be leaving incorrectly filed documents, in the correct case, on the ECF system. If the document was filed in the incorrect case and needs to be moved to the correct case, please use the phone number below to request a correction:

Toll Free Help Desk

1-866-325-4975

Please make the call as soon as possible after an error is discovered. You will need to provide the case and document numbers for the document requiring correction. If appropriate, the court will make an entry indicating that the document was filed in error. You will be advised if you need to re-file the document. The ECF system does not permit you to change the misfiled document(s) or incorrect docket entry after the transaction has been accepted.

User's Manual

TRAINER NOTE:

This will probably change a lot in the beginning. Check the last updated date.

You can download or view the most recent version of the ECF User's Manual (in PDF format) from the District Court's web page. Enter http://www.mnd.uscourts.gov, when the court's web page opens, click on the Electronic Case Filing hyperlink. Another helpful document to download is the ECF Procedures Guide. Having the latest copies of both of these documents on hand for reference will increase your success when e-filing in the ECF system.

A Step-By-Step Guide

Below is a step-by-step guide for accessing the ECF system, preparing a document for filing, filing a motion or application, and displaying a docket sheet. We suggest that you go through the steps on the training database before trying to actually file a document.

How to Access the System

Users can get into the system via the Internet by going to

https://ecf.mnd.uscourts.gov

Or, you may go to the District of Minnesota's Web site at www.mnd.uscourts.gov and click on the **Electronic Case Filing** hyperlink.

Click on **Live ECF Link** to open the login screen and login to ECF.

Users can access the Training Database by going to

https://ecf-train.mnd.uscourts.gov

TRAINER NOTE:

You may want to bookmark or create a shortcut for the program. The training database will contain some test cases you can use to practice filings with. The information in this database will be reset each evening.

Logging In

The next screen is the login screen.

ECF/PACER Login

Notice

This is a **Restricted Web Site** for Official Court Business only. Unauthorized entry is prohibited and subject to prosecution under Title 18 of the U.S. Code. All activities and access attempts are logged.

Instructions

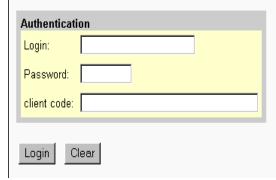
Enter your ECF login and password for electronic filing capabilities. If you do not need filing capabilities, enter your PACER login and password. If you do not have a PACER login, contact the PACER Service Center to establish an account. You may register online at http://pacer.psc.uscourts.gov or call the PACER Service Center at (800) 676-6856 or (210) 301-6440.

An access fee of \$.07 per page, as approved by the Judicial Conference of the United States at its September 1998 session, will be assessed for access to this service. All inquiries will be charged to your PACER account. If you do not need filing capabilities, enter your PACER login and password. The Client code is provided to the PACER user as a means of tracking transactions by client. This code can be up to thirty two alphanumeric characters long.

CM/ECF Account Agreement (This agreement applies only to ECF logins and passwords - it does not apply to PACER logins)

By accessing this system using an ECF login and password, the registered user (i) consents to receipt of the electronic notification via e-mail as service of pleadings and other papers (pursuant to Fed. R. Civ. P. 5) and of orders and judgments (pursuant to Fed. R. Civ. P. 77(d)); and (ii) acknowledges that documents filed by opposing counsel will be considered served upon the registered user for purposes of Fed. R. Civ. P. 5 if they are delivered through ECF.

The Court has the right to add or remove conditions to this agreement. Any changes will be clearly posted on this login page.



Enter your ECF Login and Password in the appropriate data entry fields. All ECF login names and passwords are case sensitive.

Note: Use your ECF login and password if you are entering the system to file a pleading or to maintain your account. If you only wish to enter ECF to query the database for case information or to view a document, enter your PACER login and password. You will be charged a fee to view ECF case dockets and documents using your PACER login. Use the client code field if you are using PACER to view documents/docket sheets and you wish to organize your monthly bill using client codes. Do not use this field when logging into the ECF system as this will prohibit you from successfully logging in.

Verify that you have entered your ECF login and password correctly. If not, click on the **[Clear]** button to erase the Login and Password entries and re-enter the correct information. After you enter the correct login and password information, click on the **[Login]** button to transmit your user information to the ECF system.

• If the ECF system does not recognize your login and password, it will display the following error message on a new screen.

Login failed either your login name or key is incorrect

• Click on the [Back] button in your browser and re-enter your correct login and password.

Minnesota District Court

• If you cannot access the ECF system using your assigned login and password, please call the helpdesk at **1-866-325-4975**

Once the **Main Menu** appears, choose from a list of **hyperlinked** options on the top bar. **%ECF** TRAINER NOTE: Logins and passwords are case sensitive. Cannot change the login. Can change the **II.S. District Court** password. Cannot be District of Minnesota logged in more than Official Court Electronic Document Filing System once. Systems times This message is contained in the file OperationNotice.htm out You may used this file to alert users to current CM/ECF operational issues. This facility is for Official Court Business only. Activity to and from this site is logged. Document filings on this system are subject to Federal Rule of Civil Procedure 11. Evidence of unc r criminal activity will be forwarded to the appropriate law enforcement officials Welcome to the U.S. District Court for the District of Minnesota Electronic Document Filing System. This page is for the use by attorneys and firms participating in the electronic filing s ost recent version of the Netscape browser and the Adobe PDF reader can be obtained by selecting the Netscape/PDF Settings option listed below. You should become familiar with the navigational capabilities of your Netscape broswer. Most screen displays in this system are split to provide a small top frame for Netscape. Review l ime capability for new navigation tips. Netscape/PDF Settings

13

CM/ECF User's Manual (April 13, 2004)

Note: The date *you last logged into the system* appears at the bottom left corner of this screen. You should review this information each time you login for security reasons. If you believe your last login date and time are incorrect, or suspect an unauthorized party is using your login and password, please telephone the court's Help Desk as soon as possible.

Selecting ECF Features

TRAINER NOTE.

Discuss all of the options listed below. Same features in all courts.

TRAINER

friendly system.

Reminder of

keyboard

shortcuts

NOTE: Very user

ECF provides the following features that are accessible from the Blue menu bar at the top of the opening screen.

<u>Civil</u>- Select <u>Civil</u> to electronically file all civil case pleadings, motions, and

other court documents.

<u>Criminal</u> Select <u>Criminal</u> to electronically file all criminal case pleadings, motions,

and other court documents.

Query Query ECF by specific case number, party name, or nature of suit to

retrieve documents that are relevant to the case. You must login to

PACER before you can query ECF.

Reports- Choose **Reports** to retrieve docket sheets and cases-filed reports. You

must login to PACER before you can view an ECF report.

Utilities- View your personal ECF transaction log and maintain personal ECF

account information in the **Utilities** area of ECF.

Logout- Allows you to exit from ECF and prevents further filing with your

password until the next time you log in. Only one user can be logged in

using the same login/password at once.

Civil Events Feature

Registered filers will use the Civil feature of ECF to electronically self-file and docket with the Court a variety of pleadings and other documents for civil cases. See the list of ECF documents at the back of this manual. This section of the manual describes the basic steps that you need to take in order to file a single motion with the Court. The process is consistent regardless of the event.

General Rules and Manipulations

Manipulating the screens

Each screen has the following two buttons:

- Clear clears all characters entered in the box(es) on that screen.
- Next or Submit accepts the entry just made and displays the next entry screen, if any.

Correcting a mistake:

Use the **[Back]** button on the browser toolbar to go back and correct an entry made on a previous screen. However, once the document is transmitted to the court, only the court can make changes or corrections.

Signatures; Affidavits of Service

Documents, which must contain original signatures or require either verification or an unsworn declaration under any rule or statute, shall be filed electronically with originally executed copies maintained by the filer. The pleadings or other documents electronically filed shall indicate a signature, e.g., "s/Jane Doe."

In the case of a stipulation or other document to be signed by two or more persons, the following procedure applies:

- (a) The filing party or attorney shall initially confirm that the content of the document is acceptable to all persons required to sign the document and shall obtain the physical signatures of all parties on the document.
- (b) The filing party or attorney then shall file the document electronically, indicating the signatories, e.g., "s/Jane Doe," "s/John Doe," etc.
- (c) The filing party or attorney shall retain the hard copy of the document containing the original signatures after the case ends, at least until the time for all appeals has expired.
- (d) A non-filing signatory or party who disputes the authenticity of an electronically filed document containing multiple signatures or the authenticity of the signatures themselves must file an objection to the document within ten days of receiving the Notice of Electronic Filing.

Filing a Civil Complaint

The Court will accept complaints sent by e-mail, sent by U. S. Mail, or delivered in person to the clerk's office.

E-Mail

If you e-mail your initiating documents you must send the PDF versions of the complaint and civil cover sheet and indicate the payment option you wish to use for the filing fee. If you are applying for IFP status, this document may also be submitted in PDF and included in the e-mail to following address: newcases@mnd.uscourts.gov

Delivered in Person

If you wish to deliver your documents in person to the clerk's office you may bring the civil cover sheet (JS-44) and the complaint, which lists the case party information, and a check, money order or credit card in the amount of \$150.00. The court will accept the documents in paper format (which will be scanned by the clerk's office) or on disk/CD ROM.

Minnesota District Court

Mailing Documents

ECF User's Manual (April 13, 2004) 15

TRAINER NOTE:

All docs get the s/sig. even 3rd party and notarized docs.

TRAINER NOTE.

Go into email and show how to address the message newcases@ mnd.uscourt s.gov and, define pymnt & attach docs. Service for defts is in paper. Email for pltf.

Mailing the initiating documents will not be any different from the standard procedures the court currently has in place for handling initiating documents. Please send your civil coversheet, complaint and form of payment (or an IFP application) and the clerk's office will initiate the case.

TRAINER NOTE:

Issue these does back in paper. We certify and seal them the old fashion way.

Summonses

- a. The clerk's office will receive summonses to be issued sent by e-mail, sent by United States mail, or delivered in person to the clerk's office.
- b. E-mail summonses may be sent to the e-mail addresses listed above along with the initiating case documents.
- c. A party may not electronically serve a summons, but instead must effect service according to the Federal Rule of Civil Procedure 4.
- d. Summons forms can be found on the Court's external website.

TRAINER NOTE:

Same "basic" steps for all filings.

Filing Documents for Civil Cases

There are eight basic steps involved in filing a document:

- 1) Select the type of document to file (see Attorney Event Menu).
- 2) Enter the case number in which the document is to be filed.
- 3) Designate the party(s) filing the document.
- 4) Specify the PDF file name and location for the document to be filed.
- 5) Add attachments, if any, to the document being filed.
- 6) Modify docket text as necessary.
- 7) Submit the pleading to ECF.
- 8) Receive notification of electronic filing.

After successfully logging into ECF, follow these steps to file your document.



Filing an Answer in a Civil Cases

1. Select the type of document to file

Select <u>Civil</u> from the blue menu bar at the top of the ECF screen. The Civil Event window opens displaying all of the events from which you may choose for your filing. This section of the User's Manual describes the process for filing an **Answer** in ECF. The process is similar for filing other pleadings in ECF.

Click on Answers to Complaints, under Initial Pleadings and Service

2. Enter the case number in which the document is to be filed.

Enter the number of the case for which you are filing a motion and click on [Next].

• If the number is entered incorrectly, click Clear to re-enter. If the computer prompts that you entered an invalid case number, click on Back to re-enter.

TRAINER NOTE:

Options for typing the case no. appear.

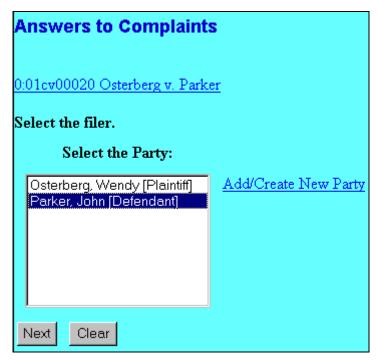
- When the case number is correct, click on Next
- If multiple case numbers match with the one typed, another window appears asking which case you wish to make the filing in.
- In most screens a case number verification window appears. This window is another opportunity for the filer to be reassured they are filing in the correct case.

3. Designate the party(s) filing the document

TRAINER NOTE:

Mention the use of the hyperlink above and using it only for viewing documents in PACER. Click on add/create new party button at least once to demonstrate how it is used. Choose the

deft.



Highlight the name of the party or parties for whom you are filing the motion. If you represent all defendants or all plaintiffs you may select the entire group by holding down the control key while pointing and clicking on each party of the group

After highlighting the parties to the motion, click on the [Next] button.

Note: If your party does not appear, see the section of this manual titled **Add/Create New Party**.

4. The Attorney/Party Associations Window

If this is the first time the attorney is filing in the case, an association window will appear. The purpose of the window is to create an association between the attorney and the party. Check the box if indeed the attorney and party should be connected in ECF. Click the [Next] button.



5. Specify the PDF file name and location for the document to be filed.



ECF displays a window for linking the answer to the complaint and a field for locating and entering the PDF file of the document you are filing in ECF.

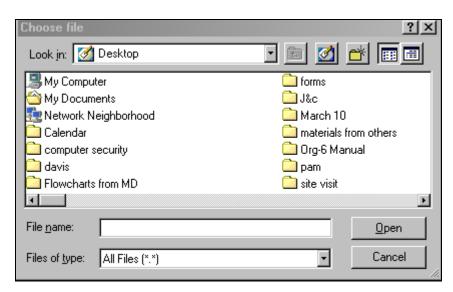
 Using the left mouse button, click inside the Include checkbox to link the answer being filed to the complaint in the file.

Note: It is imperative that you attach an electronic copy of the actual pleading when prompted by the system. All documents that you intend to file in ECF **MUST** reside in **PDF** format. Otherwise, ECF will not accept the document and users will be unable to retrieve and read your document from within ECF.

Next, you must also link the PDF document to the filing. Click on the [Browse] button. ECF opens the following screen.

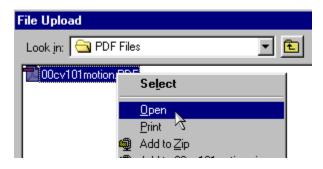
TRAINER NOTE:

ALWAYS right-click on the file and open before posting to ensure it is the correct document. If you move forward and have to go back, this window may have vou reattach the document. Choose the answer PDF.



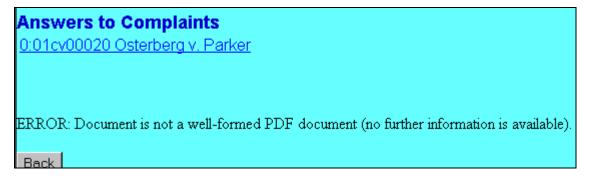
- Navigate to the appropriate directory and file name to select the PDF document you wish to file.
- Highlight the file to upload to ECF.

Note: In order to verify that you have selected the correct document, right mouse click on the highlighted file name to open a **quick menu** and left mouse click on **[open]**. Adobe Acrobat or Acrobat Reader will launch and open the PDF document that you selected. You should view it to verify that it is the correct document.



- Once you have verified the document is correct, close Adobe Acrobat and click on the [Open] button. ECF closes the File Upload screen and inserts the PDF file name and location in the Answers to Complaints screen.
- If there are no attachments to the answer, click on [Next].
- If you have Attachments to your answer, you will select [Yes] on the screen depicted above. Click on [Next] and proceed to the first step in Section 6, "Adding Attachments to Documents Being Filed".

In the event you selected and highlighted a file that is *not* in PDF format, ECF will display the following error message after you click on the [Next] button.



- ECF will not permit you to select a file for your pleading that is not in PDF format.
- Click on the [Back] button and ECF will return to the Answers to Complaints screen. Select and highlight the PDF file of your pleading and proceed as before.

Failure to Select A Document to File

If you fail to select a document to file with your pleading, ECF will display the error message depicted below.

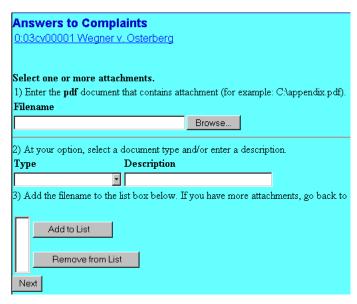


• If you click **[OK]** from the screen depicted above ECF will return you to the **Answers to Complaints** screen. You cannot proceed without attaching a PDF document.

6. Add attachments to documents being filed

If you acknowledged the need to attach documents to your answer during the previous step, a new **Attachment** screen appears.

TRAINER NOTE: Attach the certificate of service.



- Click on [Browse] to search for the document file name of the attachment.
- Next to the field for attachment **Type**, click on the arrow and ECF opens a pulldown screen. Highlight the type of attachment from the displayed selection.
- To describe the attachment more fully, click in the **Description** box and type a clear and concise description of the attachment.
- Click on [Add to List].
- ECF adds the selected document as an attachment to the pleading. A new **Answers** to **Complaints** screen opens to display the file name of the newly attached document.

TRAINER NOTE:

Demonstrat e how you can see the file size of a document in Windows Explorer.

- Repeat the sequence for each additional attachment.
- After adding all of the desired PDF documents as attachments, click on [Next].

When attachments and exhibits are in paper format

Attachments and exhibits that cannot be created and filed electronically and when scanned are greater than 2MB (2,000 KB or similar 100 pages of plain typed text) in size, may be submitted in paper format. Filers should submit a request to the District Court for review of paper filings. Look to the ECF procedural guide for more details on this procedure.

Note: For moderate length paper attachments, consider dividing them into two or more smaller attachments, scanning, and filing them electronically.

7. Counterclaim, Cross-Claim or Third-Party Complaint?

TRAINER NOTE.

For this example choose a third party complaint. Mention the jury demand value is a reminder of what is to appear.

If the answer you are filing has a counterclaim, cross-claim, or third-party complaint, you must select the checkbox before the appropriate option.

Answers to Complaints
6:03cv00029 Johnson v. Hudleston
Does this filing include a counterclaim ? (If yes, click on the box)
Does this filing include a cross-claim ? (If yes, click on the box)
Does this filing include a third-party complaint? (If yes, click on the box)
100es this hing include a time-party complaint: (in yes, check on the ova)
Current Jury Demand value is highlighted on a subsequent screen.
If the highlighted value is correct, do NOT change it.
+ If ONLY PLAINTIFF has demanded jury, value should be p (Plaintiff)
+ If ONLY DEFENDANT has demanded jury, value should be d (Defendant)
+ If BOTH sides have demanded jury, value should be b (Both)
Next Clear

8. (Optional) Third Party, Cross-Claim or Counterclaim Additional Information

If in the previous window a third party, cross-claim or counterclaim was selected you must choose the party for whom this new filing is against. If it is a party that already is associated with the case, select the party from the list provided, if not, click on the **Add/Create Party** hyperlink.

Below are some searching tips:

- a. Searches are not case sensitive.
- b. Asterisks are not necessary when searching for partial names.
- c. Searches can only be performed by last name or business name.
- d. The party database is quite extensive. Please try searching the database several times before adding a new party.
- e. Names of cities, counties, state agencies and etc. may be listed as "St. Paul, City of."
- f. Business names and other party names may contain abbreviations.
- g. If multiple matches are found, please click on the "select name from list" button to see additional information regarding the party.
- h. If adding a party, do not add address information unless the party is pro se.
- i. Use the party text to clarify the party name. eg. A Minnesota Corporation.

TRAINER NOTE:

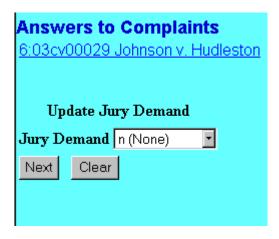
Click this the add/create party hyperlink and choose ABC Electronics. Once the additional party is added and selected, click on the [Next]. button.



9. Jury Demand

The window appears showing the current jury demand status of the case. Use the drop-down arrow to select another option if the defendant also has a jury demand. Choose **Both** if the plaintiff already had a jury demand or choose **defendant** if there was no previous jury demand. Click on the [Next] button.

TRAINER NOTE: Leave the default at both.



10. More on Jury Demands

A window appears for more information on jury demands. If the defendant does indeed have a jury demand, type the text "Jury Demand" in the text box provided. If not, leave this window blank. This information appears on the docket sheet. Click on the [Next] button.

11. Modify docket text

Answers to Complaints 6:03cv00029 Johnson v. Hudleston t Text: Modify as Appropriate. TRAINER ANSWER to Complaint with Jury Demand NOTE: D PARTY COMPLAINT against ABC Electronics by Sarah Hudleston. Boxes are mey10,) small but you can Clear type more inside the box. No spell

Click in the open text area to type additional text for the description of the pleading. Use the drop-down boxes to assist in the clarifying text. This window may contain various boxes depending if a cross-claim, counterclaim or third-party claim was selected previously. Click on the **[Next]** button.

12. Submit the pleading.

Review the docket text and correct any errors. If you need to modify data on a previous screen, click the [Back] button on the web browser toolbar to find the screen you wish to alter. Click on the [Next] button to file and docket the pleading.



TRAINER NOTE:

the drop-

check.

type

Have them

information and view

No going back and undoing anything after submitted. Must be done by the court.

Changes in text appear in italics.

Note: The screen depicted above contains the following warning.

Pressing the NEXT button on this screen commits this transaction. You will have no further opportunity to modify this transaction if you continue.

At any time prior to this step, you can abort the ECF filing or return to previous screens by:

- clicking on any hyperlink on the **Blue** ECF menu bar *to abort* the current transaction. ECF will reset to the beginning of the process you just selected.
- clicking on the Web Browser [Back] button until *you return to the desired screen*.

13. Notice of Electronic Filing

TRAINER NOTE:

The e-mail will look just like this. Do not click on the links in here or you will be charged.

TRAINER NOTE.

Click on the links above to show. Show how you can see who got this by e-mail and who you need to hard copy mail.

Notice of Electronic Filing The following transaction was entered on 3/15/2004 at 3:16 AM CST and filed on 3/15/2004 Case Name: Johnson v. Hudleston Case Number: Sarah Hudleston Filer: Document Number: 7 ANSWER to Complaint with Jury Demand, First THIRD PARTY COMPLAINT against ABC Electronics by Sarah Hudleston (Attorney 10,) The following document(s) are associated with this transaction: Document description: Main Document Original filename:n/a Electronic document Stamp: STAMP dcecfStamp_ID=1051215216 [Date=3/15/2004] [FileNumber=73940-0] 2b9587435a0b1e78197a990d86c3dc8e9f327acb70f96bc4d3898aec77cfbc294fd80 cdfabe56e584ddb4b9ba5e62a813ab4686bd10d8943a27cd77e5941156]] 5:03-cv-29 Notice will be electronically mailed to: Attorney Anderson Kristine_Wegner@mnd.uscourts.gov, Attorney10 m.nd_mtrain10@m.nd.uscourts.gov :03-cv-29 Notice will not be electronically mailed to:

- The screen depicted above provides confirmation that ECF has registered your transaction and the pleading is now an official court document. It also displays the date and time of your transaction and the number that was assigned to your document. You should note this number on the document's PDF file.
- Select [**Print**] on the web browser toolbar to print the document receipt.
- Select [File] on the web browser menu bar, and choose Save As...from the drop-down window to save the receipt to a file on the hard drive of your computer.

Note: The **Notice of Electronic Filing** is your confirmation that the filing has been accepted. The Court strongly urges you to copy it to a file on your computer hard-drive, print it, and retain a hard copy in your personal files.

- ECF will electronically transmit the **Notice of Electronic Filing** to the attorneys and parties to the case who have supplied their E-mail addresses to the Court. The ECF filing report also displays the names and addresses of individuals who will *not* be electronically notified of the filing.
- Note: It is the <u>filer's</u> responsibility to serve hard copies of the pleading <u>and</u> the Notice of Electronic Filing to attorneys and parties who are not set up for electronic notification.

TRAINER NOTE.

Demonstrate an e-mail notification in e-mail. Show how they are working now in the court. A non-attorney document there is no link and for court generated docs. link now.

E-Mail Notification of Filed Documents

After a pleading is electronically filed, the ECF System sends a **Notice of Electronic Filing** to the designated attorneys and parties who have supplied their E-mail addresses to the Court. Individuals who receive electronic notification of the filing are permitted one "free look" at the document by clicking on the associated hyperlinked document number embedded in the **Notice of Electronic Filing**. The filer is permitted one free look at the document to verify that the pleading was properly docketed. Pursuant to guidelines set by the Administrative Office of the U.S. Courts, the free look is only available for 30 days from the date of the filing. The Court strongly urges you to copy the **Notice of Electronic Filing** *and* pleading documents to your hard-drive for future access. Subsequent retrieval of the case docket sheet and pleading from ECF must be made through your **PACER** account and is subject to regular **PACER** fees.

Certificate of Service

A Certificate of Service is required to be filed either at the time of the filing in ECF and attached as a PDF attachment (an example is attached to the back of this manual) or as a separate filing in the **Service of Process** hyperlink located beneath the **Initial Pleadings and Service** menu option.

Filing a Motion in Civil Cases

1. Select the type of document to file

Select <u>Civil</u> from the blue menu bar at the top of the ECF screen. The Civil Event window opens displaying all of the events from which you may choose for your filing. This section of the User's Manual describes the process for filing a **Motion** in ECF. The process is similar for filing other pleadings in ECF.

Click on Motions, under Motions and Related Filings

2. Enter the case number in which the document is to be filed. Enter the number of the case for which you are filing a motion and click on [Next].

• If the number is entered incorrectly, click Clear to re-enter. If the computer prompts that you entered an invalid case number, click on Back to re-enter.

TRAINER NOTE:

Options for typing the case no. appear.

- When the case number is correct, click on Next
- If multiple case numbers match with the one typed, another window appears asking which case you wish to make the filing in.
- In most screens a case number verification window appears. This window is another opportunity for the filer to be reassured they are filing in the correct case.

TRAINER NOTE:

Choose Motion for Discovery

Select the type of Motion being filed.

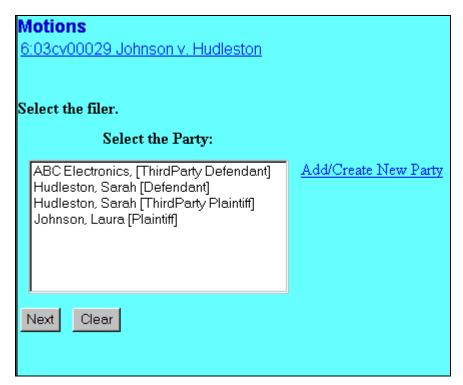
From the drop-down list, choose the type of motion being filed. Multiple motions can be selected using the control key. Once highlighted, click on [Next].

4. Designate the party(s) filing the document

TRAINER NOTE:

Mention the use of the hyperlink above and using it only for viewing documents in PACER. Click on add/create new party button at least once to demonstrate how it is used. Choose the

deft.



Highlight the name of the party or parties for whom you are filing the motion. If you represent all defendants or all plaintiffs you may select the entire group by holding down the control key while pointing and clicking on each party of the group

After highlighting the parties to the motion, click on the [Next] button.

Note: If your party does not appear, see the section of this manual titled **Add/Create New Party**.

5. Specify the PDF file name and location for the document to be filed.

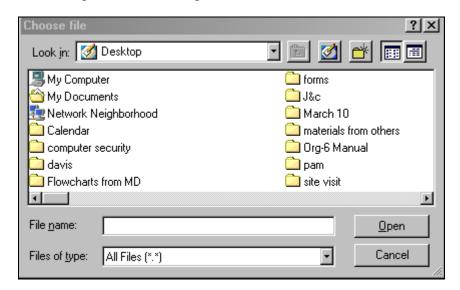


TRAINER NOTE:

ALWAYS right-click on the file and open before posting to ensure it is the correct document. If you move forward and have to go back, this window may have you reattach the document. Choose the motion for discovery PDF.

Note: It is imperative that you attach an electronic copy of the actual pleading when prompted by the system. All documents that you intend to file in ECF **MUST** reside in **PDF** format. Otherwise, ECF will not accept the document and users will be unable to retrieve and read your document from within ECF.

You must link the PDF document to the filing. Click on the [Browse] button. ECF opens the following screen.



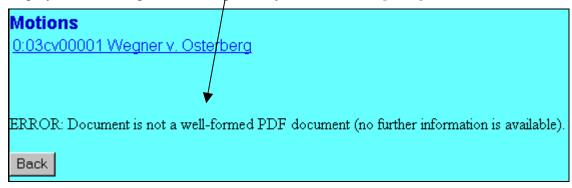
- Navigate to the appropriate directory and file name to select the PDF document you wish to file.
- Highlight the file to upload to ECF.

Note: In order to verify that you have selected the correct document, right mouse click on the highlighted file name to open a **quick menu** and left mouse click on **[open]**. Adobe Acrobat or Acrobat Reader will launch and open the PDF document that you selected. You should view it to verify that it is the correct document.



- Once you have verified the document is correct, close Adobe Acrobat and click on the [Open] button. ECF closes the File Upload screen and inserts the PDF file name and location in the Motions screen.
- If there are no attachments to the motion, click on [Next]. A new Motions window opens. Go to Section 7, "Modifying Docket Text," to proceed with your filing.
- If you have Attachments to your answer, you will select [Yes] on the screen depicted above. Click on [Next] and proceed to the first step in Section 6, "Adding Attachments to Documents Being Filed".

In the event you selected and highlighted a file that is **not** in PDF format, ECF will display the following error message after you click on the [Next] button.



- ECF will not permit you to select a file for your pleading that is not in PDF format.
- Click on the [Back] button and ECF will return to the Motions screen. Select and highlight the PDF file of your pleading and proceed as before.

Failure to Select A Document to File

If you fail to select a document to file with your pleading, ECF will display the error message depicted below.



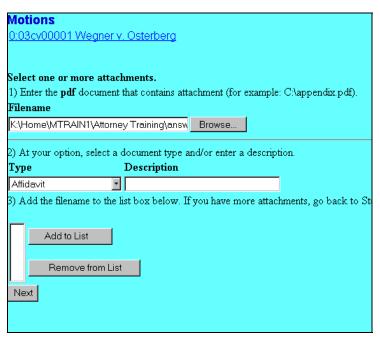
• If you click **[OK]** from the screen depicted above ECF will return you to the **Motions** screen. You cannot proceed without attaching a PDF document.

6. Add attachments to documents being filed

If you acknowledged the need to attach documents to your motion during the previous step, a new **Attachment** screen appears.

TRAINER NOTE:

No attachment for this example.



TRAINER NOTE:

Demonstrate how you can see the file size of a document in Windows Explorer.

- Click on [Browse] to search for the document file name of the attachment.
- Next to the field for attachment **Type**, click on the arrow and ECF opens a pulldown screen. Highlight the type of attachment from the displayed selection.
- To describe the attachment more fully, click in the **Description** box and type a clear and concise description of the attachment.
- Click on [Add to List].
- ECF adds the selected document as an attachment to the pleading. A new **Motions** screen opens to display the file name of the newly attached document.
- Repeat the sequence for each additional attachment.

• After adding all of the desired PDF documents as attachments, click on [Next].

When attachments and exhibits are in paper format

Attachments and exhibits that cannot be created and filed electronically and when scanned are greater than 2MB in size, may be submitted in paper format. Filers should submit a request to the District Court for review of paper filings. Look to the ECF procedural guide for more details on this procedure.

Note: For moderate length paper attachments, consider dividing them into two or more smaller attachments, scanning, and filing them electronically.

7. Modify docket text

Motions 6:03cv00029 Johnson v. Hudleston Docket Text: Modify as Appropriate. MOTION to Compel by Sarah Hudleston . (Attorney10,) Next Clear

Click in the open text area to type additional text for the description of the pleading. Use the drop-down boxes to assist in the clarifying text. Click on the **[Next]** button.

8. Submit the pleading.

Review the docket text and correct any errors. If you need to modify data on a previous screen, click the [Back] button on the web browser toolbar to find the screen you wish to alter. Click on the [Next] button to file and docket the pleading

2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
Motions
6:03cv00029 Johnson v. Hudleston
Docket Text: Final Text
MOTION to Compel <i>Evidence</i> , MOTION to Continue by Sarah Hudleston. (Attorney10,)
Attention!! Pressing the NEXT button on this screen commits this transaction. You will have no further opportunity to
modify this transaction if you continue.
Next Clear
THEM. CHEMICAL THE STATE OF THE

TRAINER NOTE:

Have them

information

and view the drop-

1 ~ - - - - -

TRAINER NOTE:

Boxes are

small but

you can type more inside the

box. No spell check.

type

No going back and undoing anything after submitted. Must be done by the court.

Note: The screen depicted above contains the following warning.

Pressing the NEXT button on this screen commits this transaction. You will have no further opportunity to modify this transaction if you continue.

At any time prior to this step, you can abort the ECF filing or return to previous screens by:

- clicking on any hyperlink on the **Blue** ECF menu bar *to abort* the current transaction. ECF will reset to the beginning of the process you just selected.
- clicking on the Web Browser [Back] button until *you return to the desired* screen.

9. Notice of Electronic Filing

U.S. District Court

District of Minnesota

TRAINER NOTE:

Click on the links above to show. Show how you can see who got this by e-mail and who you need to hard copy mail.

Votice of Electronic Filing

he following transaction was entered on 3/17/2004 at 11:53 PM CST and filed on 3/17/2004

 Case Name:
 Osterberg v. Parker

 Case Number:
 0:01-cv-20

 Filer:
 John Parker

Document Number: 8

Docket Text:

MOTION to Compel evidence by John Parker. (admin,)

he following document(s) are associated with this transaction:

Ocument description: Main Document

Driginal filename:n/a

Hectronic document Stamp:

STAMP dcecfStamp_ID=1051215216 [Date=3/17/2004] [FileNumber=74704-0] 0bb19050f9f958bfbcd99ccd31c9436aa378519ebf1be312a7cdb74aba9b1cdfa01f4 65080fcbd4aafe2d4591a16f5272baf449092e16b5e00465ab05c8e146]]

:01-cv-20 Notice will be electronically mailed to:

attorney1 mnd_train1@mnd.uscourts.gov, ecfattorney15000@yahoo.com

TRAINER NOTE.

Demonstrate an e-mail notification in e-mail. Show how they are working now in the court. A non-attorney document there is no link and for court generated docs. link now.

- The screen depicted above provides confirmation that ECF has registered your transaction and the pleading is now an official court document. It also displays the date and time of your transaction and the number that was assigned to your document. You should note this number on the document's PDF file.
- Select [**Print**] on the web browser toolbar to print the document receipt.
- Select [File] on the web browser menu bar, and choose Save As...from the drop-down window to save the receipt to a file on the hard drive of your computer.

Note: The **Notice of Electronic Filing** is your confirmation that the filing has been accepted. The Court strongly urges you to copy it to a file on your computer hard-drive, print it, and retain a hard copy in your personal files.

- ECF will electronically transmit the **Notice of Electronic Filing** to the attorneys and parties to the case who have supplied their E-mail addresses to the Court. The ECF filing report also displays the names and addresses of individuals who will *not* be electronically notified of the filing.
- **Note:** It is the <u>filer's</u> responsibility to serve hard copies of the pleading <u>and</u> the **Notice of Electronic Filing** to attorneys and parties who are not set up for electronic notification.

E-Mail Notification of Filed Documents

After a pleading is electronically filed, the ECF System sends a **Notice of Electronic Filing** to the designated attorneys and parties who have supplied their E-mail addresses to the Court. Individuals who receive electronic notification of the filing are permitted one "free look" at the document by clicking on the associated hyperlinked document number embedded in the **Notice of Electronic Filing**. The filer is permitted one free look at the document to verify that the pleading was properly docketed. Pursuant to guidelines set by the Administrative Office of the U.S. Courts, the free look is only available for 30 days from the date of the filing. The Court strongly urges you to copy the **Notice of Electronic Filing** and pleading documents to your hard-drive for future access. Subsequent retrieval of the case docket sheet and pleading from ECF must be made through your **PACER** account and is subject to regular **PACER** fees.

Certificate of Service

A Certificate of Service is required to be filed either at the time of the filing in ECF and attached as a PDF attachment (an example is attached to the back of this manual) or as a separate filing in the **Service of Process** hyperlink located beneath the **Initial Pleadings and Service** menu option.

Filing a Notice of Motion

1. Select the type of document to file

Select <u>Civil</u> from the blue menu bar at the top of the ECF screen. The Civil Event window opens displaying all of the events from which you may choose for your filing. This section of the User's Manual describes the process for filing a **Notice of Motion** in ECF. The process is similar for filing other pleadings in ECF.

Click on Notices, under Other Filings

2. Enter the case number in which the document is to be filed.

Enter the number of the case for which you are filing a motion and click on [Next].

If the number is entered incorrectly, click Clear to re-enter. If the computer prompts that you entered an invalid case number, click on Back to re-enter.

TRAINER NOTE:

Options for typing the case no. appear.

- When the case number is correct, click on Next
- If multiple case numbers match with the one typed, another window appears asking which case you wish to make the filing in.
- In most screens a case number verification window appears. This window is another opportunity for the filer to be reassured they are filing in the correct case.

3. Select the sub-type of notice being filed.

From the drop-down list, choose Notice of Motion Hearing. Click on [Next].

4. Specify the PDF file name and location for the document to be filed.

TRAINER NOTE:

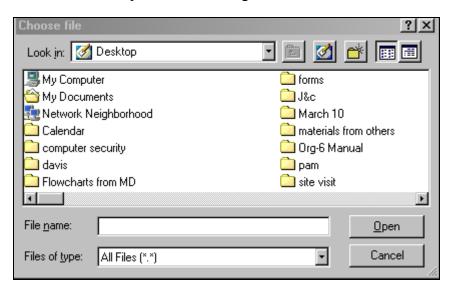
Choose the notice of motion PDF.



Note: It is imperative that you attach an electronic copy of the actual pleading when prompted by the system. All documents that you intend to file in ECF **MUST** reside in **PDF** format. Otherwise, ECF will not accept the document and users will be unable to retrieve and read your document from within ECF.

• Next, you must also link the PDF document to the filing. Click on the [Browse] button. ECF opens the following screen.

TRAINER NOTE: **ALWAYS** right-click on the file and open before posting to ensure it is the correct document. If you move forward and have to go back, this window may have you reattach the document Choose the notice of motion PDF.

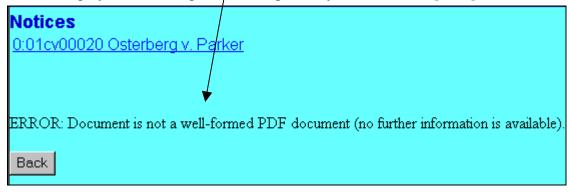


- Navigate to the appropriate directory and file name to select the PDF document you wish to file.
- Highlight the file to upload to ECF.

Note: In order to verify that you have selected the correct document, right mouse click on the highlighted file name to open a **quick menu** and left mouse click on **[open]**. Adobe Acrobat or Acrobat Reader will launch and open the PDF document that you selected. You should view it to verify that it is the correct document.



- Once you have verified the document is correct, close Adobe Acrobat and click on the [Open] button. ECF closes the File Upload screen and inserts the PDF file name and location in the Notices screen.
- If there are no attachments to the notice, click on [Next]. A new Notices window opens. Go to Section 6, "Motion Reminder," to proceed with your filing.
- If you have Attachments to your answer, you will select [Yes] on the screen depicted above. Click on [Next] and proceed to the first step in Section 5, "Adding Attachments to Documents Being Filed".
- In the event you selected and highlighted a file that is *not* in PDF format, ECF will display the following error message after you click on the [Next] button.



- ECF will not permit you to select a file for your pleading that is not in PDF format.
- Click on the [Back] button and ECF will return to the Notices screen. Select and highlight the PDF file of your pleading and proceed as before.

Failure to Select A Document to File

If you fail to select a document to file with your pleading, ECF will display the error message depicted below.



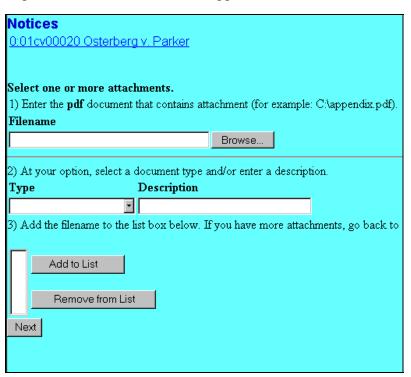
• If you click **[OK]** from the screen depicted above ECF will return you to the **Notices** screen. You cannot proceed without attaching a PDF document.

5. Add attachments to documents being filed

If you acknowledged the need to attach documents to your notice during the previous step, a new **Attachment** screen appears.

TRAINER NOTE:

No attachment for this example.



- Click on [Browse] to search for the document file name of the attachment.
- Next to the field for attachment **Type**, click on the arrow and ECF opens a pulldown screen. Highlight the type of attachment from the displayed selection.
- To describe the attachment more fully, click in the **Description** box and type a clear and concise description of the attachment.
- Click on [Add to List].
- ECF adds the selected document as an attachment to the pleading. A new **Notices** screen opens to display the file name of the newly attached document.
- Repeat the sequence for each additional attachment.
- After adding all of the desired PDF documents as attachments, click on [Next].

When attachments and exhibits are in paper format

Attachments and exhibits that cannot be created and filed electronically and when scanned are greater than 2MB in size, may be submitted in paper format. Filers should submit a request to the District Court for review of paper filings. Look in the ECF procedural guide for more details on this procedure.

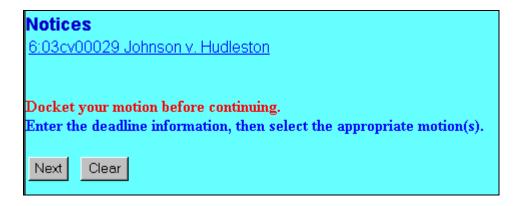
TRAINER NOTE:

Demonstrate how you can see the file size of a document in Windows Explorer. **Note:** For moderate length paper attachments, consider dividing them into two or more smaller attachments, scanning, and filing them electronically.

6. Motion Reminder

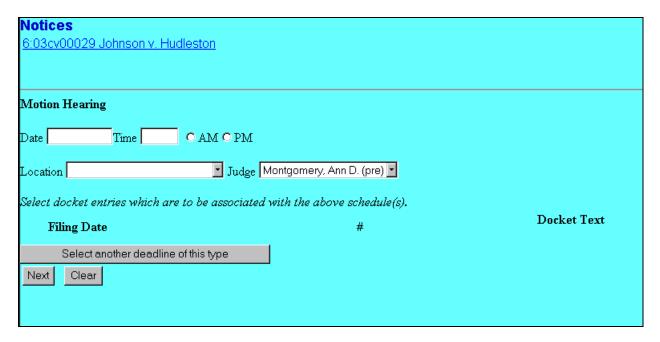
this

A window appears reminding the filer that the motion must be filed before the notice. If the motion has been filed, click on [Next]. If the motion has not been filed, abort transaction and file the motion.



7. Motion Hearing Date/Time

Use this window to select the date/time and location of the motion hearing. Dates should be typed using a / or – separator. Click on [Next].



8. Submit the pleading.

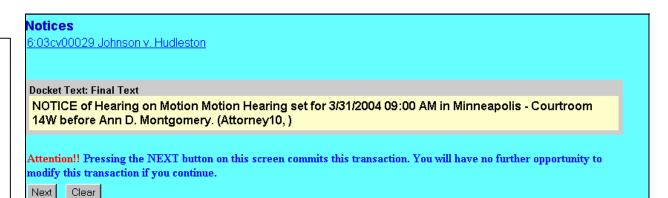
Review the docket text and correct any errors. If you need to modify data on a previous screen, click the **[Back]** button on the web browser toolbar to find the screen you wish to alter. Click on the **[Next]** button to file and docket the pleading.

TRAINER NOTE:

Click on the links above to show. Show how you can see who got this by e-mail and who you need to hard copy mail.

TRAINER NOTE:

No going back and undoing anything after submitted. Must be done by the court.



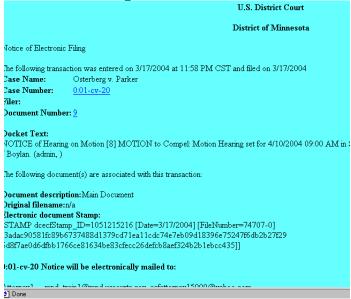
Note: The screen depicted above contains the following warning.

Pressing the NEXT button on this screen commits this transaction. You will have no further opportunity to modify this transaction if you continue.

At any time prior to this step, you can abort the ECF filing or return to previous screens by:

- clicking on any hyperlink on the **Blue** ECF menu bar *to abort* the current transaction. ECF will reset to the beginning of the process you just selected.
- clicking on the Web Browser [Back] button until you return to the desired screen.

9. Notice of Electronic Filing



• The screen depicted above provides confirmation that ECF has registered your transaction and the pleading is now an official court document. It also displays the date and time of your transaction and the number that was assigned to your

TRAINER NOTE:

Demonstrate an e-mail notification in e-mail. Show how they are working now in the court. A non-attorney document there is no link and for court generated docs. link now.

- document. You should note this number on the document's PDF file.
- Select [**Print**] on the web browser toolbar to print the document receipt.
- Select [File] on the web browser menu bar, and choose Save As...from the drop-down window to save the receipt to a file on the hard drive of your computer.

Note: The **Notice of Electronic Filing** is your confirmation that the filing has been accepted. The Court strongly urges you to copy it to a file on your computer hard-drive, print it, and retain a hard copy in your personal files.

- ECF will electronically transmit the **Notice of Electronic Filing** to the attorneys and parties to the case who have supplied their E-mail addresses to the Court. The ECF filing report also displays the names and addresses of individuals who will *not* be electronically notified of the filing.
- **Note:** It is the <u>filer's</u> responsibility to serve hard copies of the pleading <u>and</u> the **Notice of Electronic Filing** to attorneys and parties who are not set up for electronic notification.

E-Mail Notification of Filed Documents

After a pleading is electronically filed, the ECF System sends a **Notice of Electronic Filing** to the designated attorneys and parties who have supplied their E-mail addresses to the Court. Individuals who receive electronic notification of the filing are permitted one "free look" at the document by clicking on the associated hyperlinked document number embedded in the **Notice of Electronic Filing**. The filer is permitted one free look at the document to verify that the pleading was properly docketed. Pursuant to guidelines set by the Administrative Office of the U.S. Courts, the free look is only available for 30 days from the date of the filing. The Court strongly urges you to copy the **Notice of Electronic Filing** *and* pleading documents to your hard-drive for future access. Subsequent retrieval of the case docket sheet and pleading from ECF must be made through your **PACER** account and is subject to regular **PACER** fees.

TRAINER NOTE:

In class show how documents can be filed with a filing and separately.

Certificate of Service

A Certificate of Service is required to be filed either at the time of the filing in ECF and attached as a PDF attachment (an example is attached to the back of this manual) or as a separate filing in the **Service of Process** hyperlink located beneath the **Initial Pleadings and Service** menu option.

Filing a Memorandum in Support of a Motion in Civil Cases

1. Select the type of document to file

Select <u>Civil</u> from the blue menu bar at the top of the ECF screen. The Civil Event window opens displaying all of the events from which you may choose for your filing. This section of the User's Manual describes the process for filing a **Memorandum in Support of a Motion** in ECF. The process is similar for filing other pleadings in ECF.

Click on **Responses and Replies**, under **Motions and Related Filings**.

2. Enter the case number in which the document is to be filed. Enter the number of the case for which you are filing a motion and click on [Next].

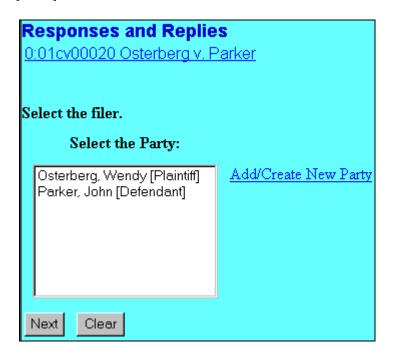
- If the number is entered incorrectly, click Clear to re-enter. If the computer prompts that you entered an invalid case number, click on Back to re-enter.
- When the case number is correct, click on Next
- If multiple case numbers match with the one typed, another window appears asking which case you wish to make the filing in.
- In most screens a case number verification window appears. This window is another opportunity for the filer to be reassured they are filing in the correct case.

3. Select the type of Other Filing being filed.

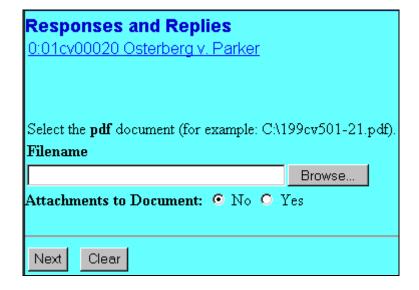
From the drop-down list, choose **memorandum in support of a motion**. Click on **[Next]**.

4. Selecting the Filer

Use the mouse to highlight or select which party(ies) are filing the affidavit. Click on [Next].

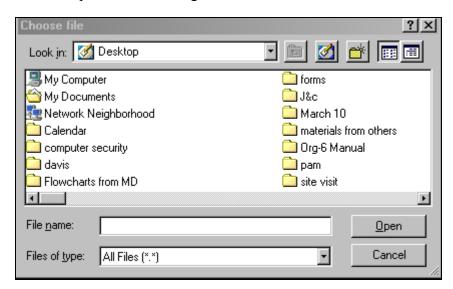


5. Specify the PDF file name and location for the document to be filed.



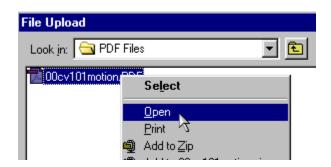
Note: It is imperative that you attach an electronic copy of the actual pleading when prompted by the system. All documents that you intend to file in ECF **MUST** reside in **PDF** format. Otherwise, ECF will not accept the document and users will be unable to retrieve and read your document from within ECF.

• You must link the PDF document to the filing. Click on the [**Browse**] button. ECF opens the following screen.



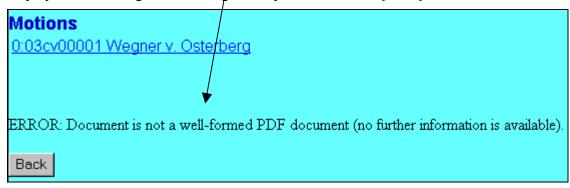
- Navigate to the appropriate directory and file name to select the PDF document you wish to file.
- Highlight the file to upload to ECF.

Note: In order to verify that you have selected the correct document, right mouse click on the highlighted file name to open a **quick menu** and left mouse click on **[open]**. Adobe Acrobat or Acrobat Reader will launch and open the PDF document that you selected. You should view it to verify that it is the correct document.



- Once you have verified the document is correct, close Adobe Acrobat and click on the [Open] button. ECF closes the File Upload screen and inserts the PDF file name and location in the Responses and Replies screen.
- If there are no attachments to the motion, click on [Next]. A new Motions window opens. Go to Section 7, "Modifying Docket Text," to proceed with your filing.
- If you have Attachments to your memorandum, you will select [Yes] on the screen depicted above. Click on [Next] and proceed to the first step in Section 5, "Adding Attachments to Documents Being Filed".

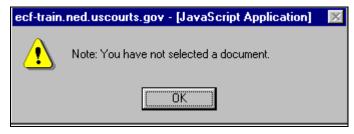
In the event you selected and highlighted a file that is **not** in PDF format, ECF will display the following error message after you click on the [Next] button.



- ECF will not permit you to select a file for your pleading that is not in PDF format.
- Click on the [Back] button and ECF will return to the Responses and Replies screen. Select and highlight the PDF file of your pleading and proceed as before.

Failure to Select A Document to File

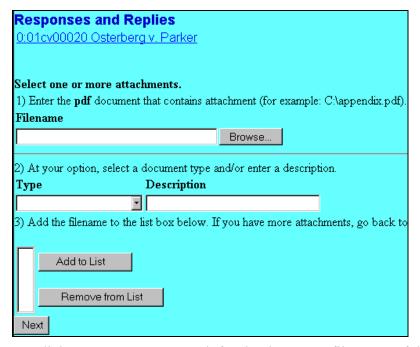
If you fail to select a document to file with your pleading, ECF will display the error message depicted below.



• If you click **[OK]** from the screen depicted above ECF will return you to the **Motions** screen. You cannot proceed without attaching PDF document.

5. Add attachments to documents being filed

If you acknowledged the need to attach documents to your memorandum during the previous step, a new **Attachment** screen appears.



- Click on [Browse] to search for the document file name of the attachment.
- Next to the field for attachment **Type**, click on the arrow and ECF opens a pulldown screen. Highlight the type of attachment from the displayed selection.
- To describe the attachment more fully, click in the **Description** box and type a clear and concise description of the attachment.
- Click on [Add to List].
- ECF adds the selected document as an attachment to the pleading. A new **attachments** screen opens to display the file name of the newly attached document.
- Repeat the sequence for each additional attachment.
- After adding all of the desired PDF documents as attachments, click on [Next].

When attachments and exhibits are in paper format

Attachments and exhibits that cannot be created and filed electronically and when scanned are greater than 2MB in size, may be submitted in paper format. Filers should submit a request to the District Court for review of paper filings. Look in the ECF procedural guide for more details on this procedure.

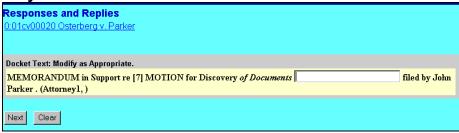
Note: For moderate length paper attachments, consider dividing them into two or more smaller attachments, scanning, and filing them electronically.

6. Refer to an Existing Event?

This window allows the filer to link the memorandum with other documents that have been filed already. A list of previously filed documents appears. Using the mouse, select by clicking in the checkboxes provided, which documents you wish to link the memorandum to. Click on [Next].



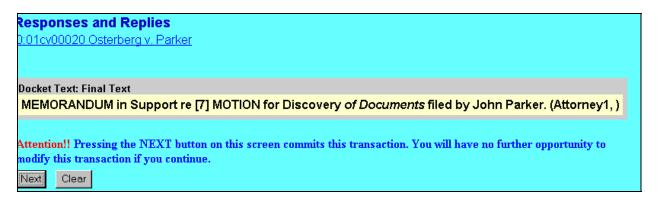
7. Modify docket text



Click in the open text area to type additional text for the description of the pleading. Click on the [Next] button.

8. Submit the pleading.

Review the docket text and correct any errors. If you need to modify data on a previous screen, click the **[Back]** button on the web browser toolbar to find the screen you wish to alter. Click on the **[Next]** button to file and docket the pleading.



Note: The screen depicted above contains the following warning.

Pressing the NEXT button on this screen commits this transaction. You will have no further opportunity to modify this transaction if you continue.

At any time prior to this step, you can abort the ECF filing or return to previous screens by:

- clicking on any hyperlink on the **Blue** ECF menu bar *to abort* the current transaction. ECF will reset to the beginning of the process you just selected.
- clicking on the Web Browser [Back] button until you return to the desired screen.

9. Notice of Electronic Filing



ECF the ECF filing receipt window.

- The screen depicted above provides confirmation that ECF has registered your transaction and the pleading is now an official court document. It also displays the date and time of your transaction and the number that was assigned to your document. You should note this number on the document's PDF file.
- Select [**Print**] on the web browser toolbar to print the document receipt.
- Select [File] on the web browser menu bar, and choose Save As...from the
 drop-down window to save the receipt to a file on the hard drive of your
 computer.

Note: The **Notice of Electronic Filing** represents your Certificate of Service. The Court strongly urges you to copy it to a file on your computer hard-drive, print it, and retain a hard copy in your personal files.

• ECF will electronically transmit the **Notice of Electronic Filing** to the attorneys and parties to the case who have supplied their E-mail addresses to the Court. The ECF filing report also displays the names and addresses of

individuals who will <u>not</u> be electronically notified of the filing. It is the <u>filer's</u> responsibility to serve hard copies of the pleading <u>and</u> the **Notice of Electronic Filing** to attorneys and parties who are not set up for electronic notification.

E-Mail Notification of Filed Documents

After a pleading is electronically filed, the ECF System sends a **Notice of Electronic Filing** to the designated attorneys and parties who have supplied their E-mail addresses to the Court. Individuals who receive electronic notification of the filing are permitted one "free look" at the document by clicking on the associated hyperlinked document number embedded in the **Notice of Electronic Filing**. The filer is permitted one free look at the document to verify that the pleading was properly docketed. Pursuant to guidelines set by the Administrative Office of the U.S. Courts, the free look is only available for 30 days from the date of the filing. The Court strongly urges you to copy the **Notice of Electronic Filing** *and* pleading documents to your hard-drive for future access. Subsequent retrieval of the case docket sheet and pleading from ECF must be made through your **PACER** account and is subject to regular **PACER** fees.

Note: It is the responsibility of filers to send hard copies of the pleading and **Notice of Electronic Filing** to attorneys and pro se parties who have indicated they *do not* have Email accounts.

Certificate of Service

A Certificate of Service is required to be filed either at the time of the filing in ECF and attached as a PDF attachment (an example is attached to the back of this manual) or as a separate filing in the **Service of Process** hyperlink located beneath the **Initial Pleadings and Service** menu option.

Filing an Affidavit in Support of a Motion in Civil Cases

1. Select the type of document to file

Select <u>Civil</u> from the blue menu bar at the top of the ECF screen. The Civil Event window opens displaying all of the events from which you may choose for your filing. This section of the User's Manual describes the process for filing an **Affidavit** in **Support of a Motion** in ECF. The process is similar for filing other pleadings in ECF.

Click on **Responses and Replies**, under **Motions and Related Filings**.

2. Enter the case number in which the document is to be filed.

Enter the number of the case for which you are filing a motion and click on [Next].

If the number is entered incorrectly, click Clear to re-enter. If the computer prompts that you entered an invalid case number, click on Back to re-enter.

TRAINER NOTE:

Options for typing the case no. appear.

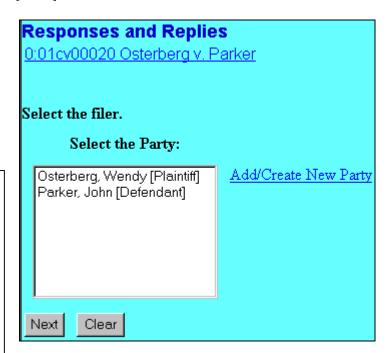
- When the case number is correct, click on Next
- If multiple case numbers match with the one typed, another window appears asking which case you wish to make the filing in.
- In most screens a case number verification window appears. This window is another opportunity for the filer to be reassured they are filing in the correct case.

3. Select the type of Other Filing being filed.

From the drop-down list, choose affidavit in support of a motion. Click on [Next].

4. Selecting the Filer

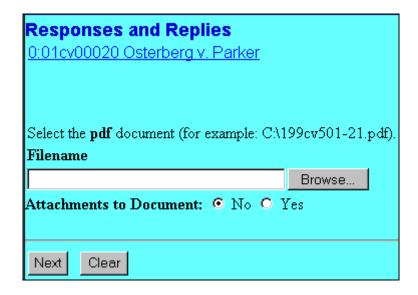
Use the mouse to highlight or select which party(ies) are filing the affidavit. Click on [Next].



TRAINER NOTE:

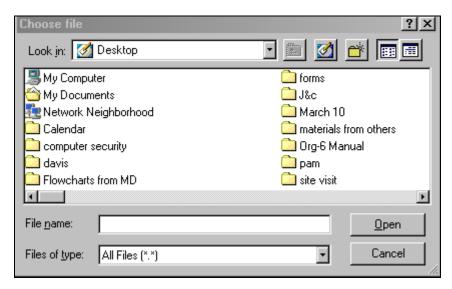
ALWAYS right-click on the file and open before posting to ensure it is the correct document. If you move forward and have to go back, this window may have you reattach the document. Choose the affidavit PDF.

5. Specify the PDF file name and location for the document to be filed.



Note: It is imperative that you attach an electronic copy of the actual pleading when prompted by the system. All documents that you intend to file in ECF **MUST** reside in **PDF** format. Otherwise, ECF will not accept the document and users will be unable to retrieve and read your document from within ECF.

• You must link the PDF document to the filing. Click on the [Browse] button. ECF opens the following screen.



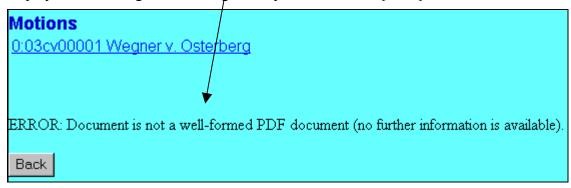
- Navigate to the appropriate directory and file name to select the PDF document you wish to file.
- Highlight the file to upload to ECF.

Note: In order to verify that you have selected the correct document, right mouse click on the highlighted file name to open a **quick menu** and left mouse click on **[open]**. Adobe Acrobat or Acrobat Reader will launch and open the PDF document that you selected. You should view it to verify that it is the correct document.



- Once you have verified the document is correct, close Adobe Acrobat and click on the [Open] button. ECF closes the File Upload screen and inserts the PDF file name and location in the Motions screen.
- If there are no attachments to the motion, click on [Next]. A new Motions window opens. Go to Section 7, "Modifying Docket Text," to proceed with your filing.
- If you have Attachments to your answer, you will select [Yes] on the screen depicted above. Click on [Next] and proceed to the first step in Section 5, "Adding Attachments to Documents Being Filed".

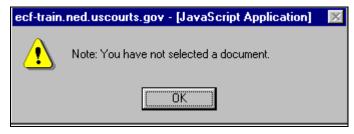
In the event you selected and highlighted a file that is **not** in PDF format, ECF will display the following error message after you click on the [Next] button.



- ECF will not permit you to select a file for your pleading that is not in PDF format.
- Click on the [Back] button and ECF will return to the Answers to Complaints screen. Select and highlight the PDF file of your pleading and proceed as before.

Failure to Select A Document to File

If you fail to select a document to file with your pleading, ECF will display the error message depicted below.



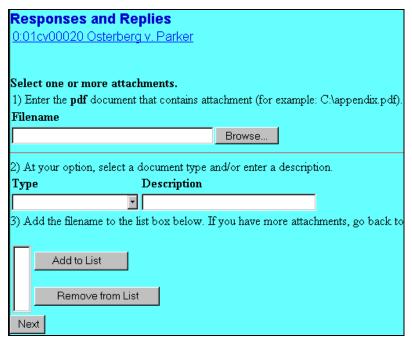
• If you click **[OK]** from the screen depicted above ECF will return you to the **Motions** screen. You cannot proceed without attaching PDF document.

5. Add attachments to documents being filed

If you acknowledged the need to attach documents to your motion during the previous step, a new **Attachment** screen appears.

TRAINER NOTE:

Attach the attachments for all the exhibits.



- Click on [Browse] to search for the document file name of the attachment.
- Next to the field for attachment **Type**, click on the arrow and ECF opens a pulldown screen. Highlight the type of attachment from the displayed selection.
- To describe the attachment more fully, click in the **Description** box and type a clear and concise description of the attachment.
- Click on [Add to List].
- ECF adds the selected document as an attachment to the pleading. A new **attachments** screen opens to display the file name of the newly attached document.
- Repeat the sequence for each additional attachment.
- After adding all of the desired PDF documents as attachments, click on [Next].

When attachments and exhibits are in paper format

Attachments and exhibits that cannot be created and filed electronically and when scanned are greater than 2MB in size, may be submitted in paper format. Filers should submit a request to the District Court for review of paper filings. Look in the ECF procedural guide for more details on this procedure.

Note: For moderate length paper attachments, consider dividing them into two or more smaller attachments, scanning, and filing them electronically.

TRAINER NOTE:

Demonstrate how you can see the file size of a document in Windows Explorer.

TRAINER NOTE:

If you select the hyperlinks you will need to log into PACER.

TRAINER NOTE:

Boxes are small but you can type more inside the box.
No spell check.
Have them type information and view the drop-

TRAINER NOTE:

No going back and undoing anything after submitted. Must be done by the court.

6. Refer to an Existing Event?

This window allows the filer to link the affidavit with other documents that have been filed already. Click on the checkbox provided to select another document. Click on **[Next]**.

If this option was selected, a list of previously filed documents appears. Using the mouse, select by clicking in the checkboxes provided, which documents you wish to link the affidavit to. Click on [Next].

7. Modify docket text

The same of the same states	
Other Documents	
6:03cv00029 Johnson v. Hudleston	
Docket Text: Modify as Appropriate.	
AFFIDAVIT re [9] MOTION to Compel Evidence MOTION to Continue	by Sarah
Hudleston . (Attorney10,)	
Next Clear	

Click in the open text area to type additional text for the description of the pleading. Use the drop-down boxes to assist in the clarifying text. Click on the [Next] button.

8. Submit the pleading.

Review the docket text and correct any errors. If you need to modify data on a previous screen, click the [Back] button on the web browser toolbar to find the screen you wish to alter. Click on the [Next] button to file and docket the pleading.

Ot	her Documents	
<u>6:1</u>	<u>03cv00029 Johnson v. Hudleston</u>	
De	ocket Text: Final Text	
Α	AFFIDAVIT re [9] MOTION to Compel <i>Evidence</i> MOTION to Continue by Sarah Hudleston. (Attorney10,)	
	tention!! Pressing the NEXT button on this screen commits this transaction. You will have no further opportunity to odify this transaction if you continue.	
Ν	ext Clear	

Note: The screen depicted above contains the following warning.

Pressing the NEXT button on this screen commits this transaction. You will have no further opportunity to modify this transaction if you continue.

At any time prior to this step, you can abort the ECF filing or return to previous screens by:

• clicking on any hyperlink on the **Blue** ECF menu bar *to abort* the current CM/ECF User's Manual (April 13, 2004) 57 Minnesota District Court

transaction. ECF will reset to the beginning of the process you just selected.

• clicking on the Web Browser [Back] button until you return to the desired screen.

9. Notice of Electronic Filing

TRAINER NOTE:

This looks just like the e-mail notice. Don't click on the hyperlinks or you will be charged.

TRAINER NOTE:

Click on the links above to show. Show how you can see who got this by e-mail and who you need to hard copy mail.

```
Votice of Electronic Filing
The following transaction was entered on 3/15/2004 at 3:16 AM CST and filed on 3/15/2004
Case Name:
                  Johnson v. Hudleston
Case Number:
                   6:03-cv-29
                   Sarah Hudleston
Filer:
Document Number: 7
 ANSWER to Complaint with Jury Demand, First THIRD PARTY COMPLAINT against ABC Electronics by Sarah Hudleston (Attorney 10, )
The following document(s) are associated with this transaction:
Document description: Main Document
 Original filename:n/a
Electronic document Stamp:
 STAMP dcecfStamp_ID=1051215216 [Date=3/15/2004] [FileNumber=73940-0]
 2b9587435a0b1e78197a990d86c3dc8e9f327acb70f96bc4d3898aec77cfbc294fd80
 cdfabe56e584ddb4b9ba5e62a813ab4686bd10d8943a27cd77e5941156]]
 5:03-cv-29 Notice will be electronically mailed to:
Attorney Anderson Kristine_Wegner@mnd.uscourts.gov,
Attorney10 mnd_mtrain10@mnd.uscourts.gov
5:03-cv-29 Notice will not be electronically mailed to:
```

ECF the ECF filing receipt window.

- The screen depicted above provides confirmation that ECF has registered your transaction and the pleading is now an official court document. It also displays the date and time of your transaction and the number that was assigned to your document. You should note this number on the document's PDF file.
- Select [**Print**] on the web browser toolbar to print the document receipt.
- Select [File] on the web browser menu bar, and choose Save As...from the drop-down window to save the receipt to a file on the hard drive of your computer.

Note: The **Notice of Electronic Filing** represents your Certificate of Service. The Court strongly urges you to copy it to a file on your computer hard-drive, print it, and retain a hard copy in your personal files.

ECF will electronically transmit the Notice of Electronic Filing to the
attorneys and parties to the case who have supplied their E-mail addresses to
the Court. The ECF filing report also displays the names and addresses of
individuals who will <u>not</u> be electronically notified of the filing. It is the
filer's responsibility to serve hard copies of the pleading <u>and</u> the Notice of
Electronic Filing to attorneys and parties who are not set up for electronic
notification.

E-Mail Notification of Filed Documents

After a pleading is electronically filed, the ECF System sends a **Notice of Electronic Filing** to the designated attorneys and parties who have supplied their E-mail addresses to the Court. Individuals who receive electronic notification of the filing are permitted one "free look" at the document by clicking on the associated hyperlinked document number embedded in the **Notice of Electronic Filing**. The filer is permitted one free look at the document to verify that the pleading was properly docketed. Pursuant to guidelines set by the Administrative Office of the U.S. Courts, the free look is only available for 30 days from the date of the filing. The Court strongly urges you to copy the **Notice of Electronic Filing** *and* pleading documents to your hard-drive for future access. Subsequent retrieval of the case docket sheet and pleading from ECF must be made through your **PACER** account and is subject to regular **PACER** fees.

Note: It is the responsibility of filers to send hard copies of the pleading and **Notice of Electronic Filing** to attorneys and pro se parties who have indicated they *do not* have Email accounts.

Certificate of Service

A Certificate of Service is required to be filed either at the time of the filing in ECF and attached as a PDF attachment (an example is attached to the back of this manual) or as a separate filing in the **Service of Process** hyperlink located beneath the **Initial Pleadings and Service** menu option.

Filing Exhibits in Civil Cases

1. Select the type of document to file

Select <u>Civil</u> from the blue menu bar at the top of the ECF screen. The Civil Event window opens displaying all of the events from which you may choose for your filing. This section of the User's Manual describes the process for filing **Exhibits** in ECF. The process is similar for filing other pleadings in ECF.

Click on Trial Documents, under Other Filings.

2. Enter the case number in which the document is to be filed.

Enter the number of the case for which you are filing a motion and click on [Next].

• If the number is entered incorrectly, click Clear to re-enter. If the computer prompts that you entered an invalid case number, click on Back to re-enter.

TRAINER NOTE:

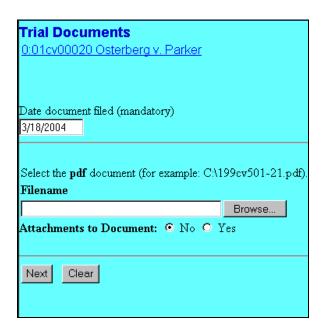
Options for typing the case no. appear.

- When the case number is correct, click on Next
- If multiple case numbers match with the one typed, another window appears asking which case you wish to make the filing in.
- In most screens a case number verification window appears. This window is another opportunity for the filer to be reassured they are filing in the correct case.

3. Select the Docket Sub-type.

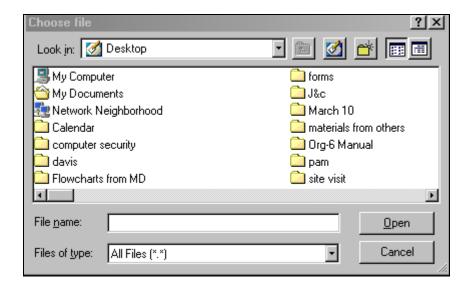
From the drop-down list, choose **exhibits**. Click on [Next].

4. Specify the PDF file name and location for the document to be filed.



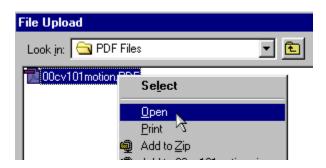
Note: It is imperative that you attach an electronic copy of the actual pleading when prompted by the system. All documents that you intend to file in ECF **MUST** reside in **PDF** format. Otherwise, ECF will not accept the document and users will be unable to retrieve and read your document from within ECF.

• You must link the PDF document to the filing. Click on the [**Browse**] button. ECF opens the following screen.



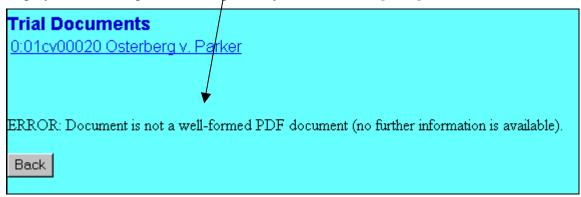
- Navigate to the appropriate directory and file name to select the PDF document you wish to file.
- Highlight the file to upload to ECF.

Note: In order to verify that you have selected the correct document, right mouse click on the highlighted file name to open a **quick menu** and left mouse click on **[open]**. Adobe Acrobat or Acrobat Reader will launch and open the PDF document that you selected. You should view it to verify that it is the correct document.



- Once you have verified the document is correct, close Adobe Acrobat and click on the [Open] button. ECF closes the File Upload screen and inserts the PDF file name and location in the Trial Documents screen.
- If there are no attachments to the exhibits, click on [Next]. A new Trial Documents window opens. Go to Section 6, "Selecting the Filer," to proceed with your filing.
- If you have Attachments to your answer, you will select [Yes] on the screen depicted above. Click on [Next] and proceed to the first step in Section 5, "Adding Attachments to Documents Being Filed".

In the event you selected and highlighted a file that is **not** in PDF format, ECF will display the following error message after you click on the [Next] button.



TRAINER NOTE:

No attachment for this example.

TRAINER NOTE:

ALWAYS right-click on the file and open before posting to ensure it is the correct document. If you move forward and have to go back, this window may have you reattach the document. Choose the exhibits PDF.

- ECF will not permit you to select a file for your pleading that is not in PDF format.
- Click on the [Back] button and ECF will return to the **Trial Documents** screen. Select and highlight the PDF file of your pleading and proceed as before.

Failure to Select A Document to File

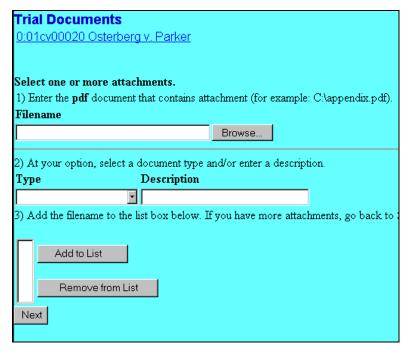
If you fail to select a document to file with your pleading, ECF will display the error message depicted below.



• If you click **[OK]** from the screen depicted above ECF will return you to the **Trial Documents** screen. You cannot proceed without attaching PDF document.

5. Add attachments to documents being filed

If you acknowledged the need to attach documents to your motion during the previous step, a new **Attachment** screen appears.



- Click on [Browse] to search for the document file name of the attachment.
- Next to the field for attachment **Type**, click on the arrow and ECF opens a pulldown screen. Highlight the type of attachment from the displayed selection.
- To describe the attachment more fully, click in the **Description** box and type a clear and concise description of the attachment.
- Click on [Add to List].
- ECF adds the selected document as an attachment to the pleading. A new **attachments** screen opens to display the file name of the newly attached document.
- Repeat the sequence for each additional attachment.
- After adding all of the desired PDF documents as attachments, click on [Next].

When attachments and exhibits are in paper format

Attachments and exhibits that cannot be created and filed electronically and when scanned are greater than 2MB in size, may be submitted in paper format. Filers should submit a request to the District Court for review of paper filings. Look to the ECF procedural guide for more details on this procedure.

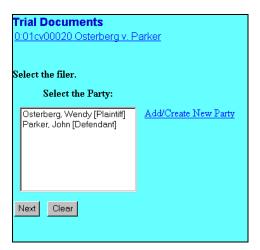
Note: For moderate length paper attachments, consider dividing them into two or more smaller attachments, scanning, and filing them electronically.

TRAINER NOTE:

Demonstrate how you can see the file size of a document in Windows Explorer.

6. Selecting the Filer

Use the mouse to highlight or select which party(ies) are filing the exhibits. Click on **[Next]**.



TRAINER NOTE:

If you select the hyperlinks you will need to log into PACER.

TRAINER NOTE

Boxes are small but you can type more inside the box. No spell check. Have them type information and view the drop-

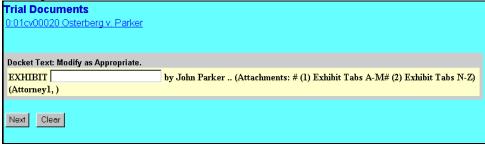
TRAINER NOTE:

No going back and undoing anything after submitted. Must be done by the court.

TRAINER NOTE:

This looks just like the e-mail notice. Don't click on the hyperlinks or you will be charged.

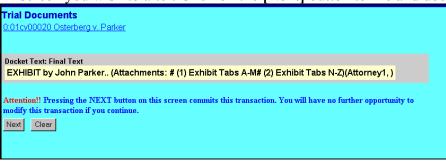
7. Modify docket text



Click in the open text area to type additional text for the description of the pleading. Use the drop-down boxes to assist in the clarifying text. Click on the [Next] button.

8. Submit the pleading.

Review the docket text and correct any errors. If you need to modify data on a previous screen, click the [Back] button on the web browser toolbar to find the screen you wish to alter. Click on the [Next] button to file and docket the pleading.



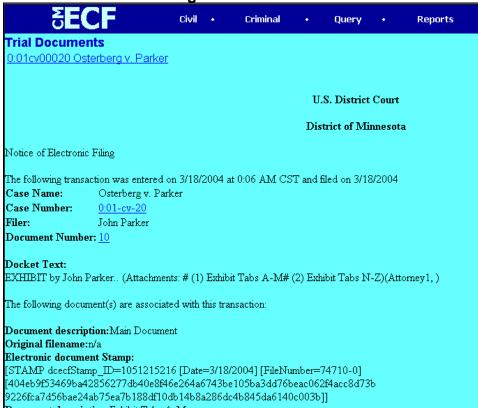
Note: The screen depicted above contains the following warning.

Pressing the NEXT button on this screen commits this transaction. You will have no further opportunity to modify this transaction if you continue.

At any time prior to this step, you can abort the ECF filing or return to previous screens by:

- clicking on any hyperlink on the **Blue** ECF menu bar *to abort* the current transaction. ECF will reset to the beginning of the process you just selected.
- clicking on the Web Browser [Back] button until you return to the desired screen.

9. Notice of Electronic Filing



TRAINER NOTE:

Click on the links above to show. Show how you can see who got this by e-mail and who you need to hard copy mail.

- The screen depicted above provides confirmation that ECF has registered your transaction and the pleading is now an official court document. It also displays the date and time of your transaction and the number that was assigned to your document. You should note this number on the document's PDF file.
- Select [**Print**] on the web browser toolbar to print the document receipt.
- Select [File] on the web browser menu bar, and choose Save As...from the drop-down window to save the receipt to a file on the hard drive of your computer.

Note: The **Notice of Electronic Filing** is your confirmation that the filing has been accepted. The Court strongly urges you to copy it to a file on your computer hard-drive, print it, and retain a hard copy in your personal files.

- ECF will electronically transmit the Notice of Electronic Filing to the
 attorneys and parties to the case who have supplied their E-mail addresses to
 the Court. The ECF filing report also displays the names and addresses of
 individuals who will <u>not</u> be electronically notified of the filing.
- Note: It is the <u>filer's</u> responsibility to serve hard copies of the pleading <u>and</u> the **Notice of Electronic Filing** to attorneys and parties who are not set up for electronic notification.

E-Mail Notification of Filed Documents

After a pleading is electronically filed, the ECF System sends a **Notice of Electronic Filing** to the designated attorneys and parties who have supplied their E-mail addresses to the Court. Individuals who receive electronic notification of the filing are permitted one "free look" at the document by clicking on the associated hyperlinked document number embedded in the **Notice of Electronic Filing**. The filer is permitted one free look at the document to verify that the pleading was properly docketed. Pursuant to guidelines set by the Administrative Office of the U.S. Courts, the free look is only available for 30 days from the date of the filing. The Court strongly urges you to copy the **Notice of Electronic Filing** *and* pleading documents to your hard-drive for future access. Subsequent retrieval of the case docket sheet and pleading from ECF must be made through your **PACER** account and is subject to regular **PACER** fees.

TRAINER NOTE:

In class show how documents can be filed with a filing and separately.

Certificate of Service

A Certificate of Service is required to be filed either at the time of the filing in ECF and attached as a PDF attachment (an example is attached to the back of this manual) or as a separate filing in the **Service of Process** hyperlink located beneath the **Initial Pleadings and Service** menu option.

Filing a Certificate of Service in Civil Cases

Certificates of service can either be filed as an attachment in ECF during a filing. If this is done, the filer must first find out ahead of time who will receive the filing by e-mail and whom the filer must send hard copies to. This can be achieved by clicking on Utilities/Mailings/Mailing Info for a Case. If the filer is filing multiple events at the same time, then a separate certificate of service can be filed and the steps are outline below.

1. Select the type of document to file

Select <u>Civil</u> from the blue menu bar at the top of the ECF screen. The Civil Event window opens displaying all of the events from which you may choose for your filing. This section of the User's Manual describes the process for filing **Certificates** of **Service** in ECF. The process is similar for filing other pleadings in ECF.

Click on **Initial Pleadings and Services**, under **Service of Process**.

2. Enter the case number in which the document is to be filed.

Enter the number of the case for which you are filing a motion and click on [Next].

• If the number is entered incorrectly, click Clear to re-enter. If the computer prompts that you entered an invalid case number, click on Back to re-enter.

TRAINER NOTE:

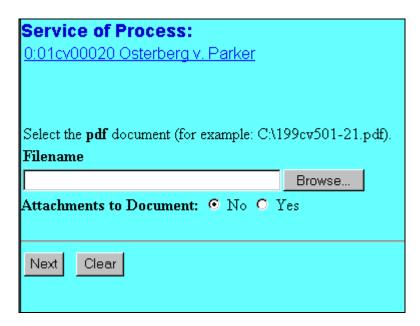
Options for typing the case no. appear.

- When the case number is correct, click on Next
- If multiple case numbers match with the one typed, another window appears asking which case you wish to make the filing in.
- In most screens a case number verification window appears. This window is another opportunity for the filer to be reassured they are filing in the correct case.

3. Select the Docket Sub-type.

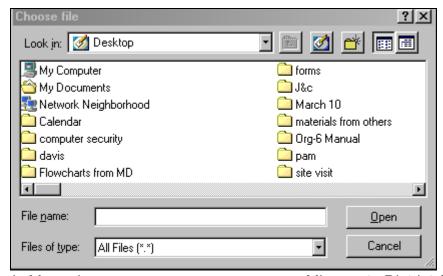
From the drop-down list, choose **certificate of service**. Click on [Next].

4. Specify the PDF file name and location for the document to be filed.



Note: It is imperative that you attach an electronic copy of the actual pleading when prompted by the system. All documents that you intend to file in ECF **MUST** reside in **PDF** format. Otherwise, ECF will not accept the document and users will be unable to retrieve and read your document from within ECF.

• You must link the PDF document to the filing. Click on the [**Browse**] button. ECF opens the following screen.



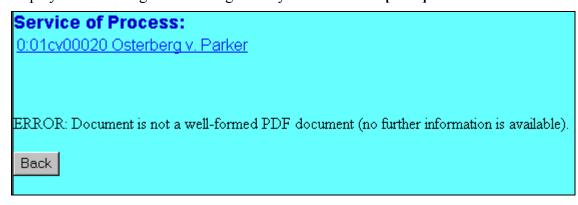
- Navigate to the appropriate directory and file name to select the PDF document you wish to file.
- Highlight the file to upload to ECF.

Note: In order to verify that you have selected the correct document, right mouse click on the highlighted file name to open a **quick menu** and left mouse click on **[open]**. Adobe Acrobat or Acrobat Reader will launch and open the PDF document that you selected. You should view it to verify that it is the correct document.



- Once you have verified the document is correct, close Adobe Acrobat and click on the [Open] button. ECF closes the File Upload screen and inserts the PDF file name and location in the Service of Process screen.
- If there are no attachments to the exhibits, click on [Next]. A new Service of Process window opens. Go to Section 6, "Selecting the Filer," to proceed with your filing.
- If you have Attachments to your answer, you will select [Yes] on the screen depicted above. Click on [Next] and proceed to the first step in Section 5, "Adding Attachments to Documents Being Filed".

In the event you selected and highlighted a file that is **not** in PDF format, ECF will display the following error message after you click on the [Next] button.



TRAINER NOTE:

No attachment for this example.

- ECF will not permit you to select a file for your pleading that is not in PDF format.
- Click on the [Back] button and ECF will return to the Service of Process screen. Select and highlight the PDF file of your pleading and proceed as before.

F User's Manual (April 13, 2004) 71

Minnesota District Court

Failure to Select A Document to File

If you fail to select a document to file with your pleading, ECF will display the error message depicted below.

TRAINER NOTE: **ALWAYS** right-click on the file and open before posting to ensure it is the correct document. If you move forward and have to go back, this window may have you reattach the document Choose the certificate of service

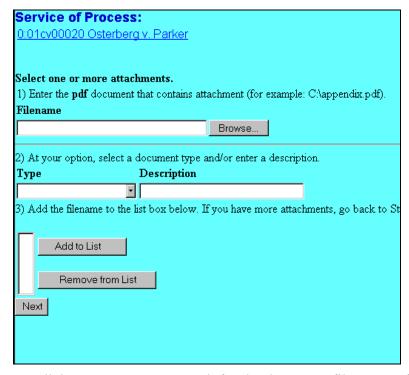
PDF.



• If you click **[OK]** from the screen depicted above ECF will return you to the **Trial Documents** screen. You cannot proceed without attaching PDF document.

5. Add attachments to documents being filed

If you acknowledged the need to attach documents to your motion during the previous step, a new **Attachment** screen appears.



- Click on [Browse] to search for the document file name of the attachment.
- Next to the field for attachment **Type**, click on the arrow and ECF opens a pulldown screen. Highlight the type of attachment from the displayed selection.
- To describe the attachment more fully, click in the **Description** box and type a clear and concise description of the attachment.
- Click on [Add to List].
- ECF adds the selected document as an attachment to the pleading. A new **attachments** screen opens to display the file name of the newly attached document.

- Repeat the sequence for each additional attachment.
- After adding all of the desired PDF documents as attachments, click on [Next].

When attachments and exhibits are in paper format

Attachments and exhibits that cannot be created and filed electronically and when scanned are greater than 2MB in size, may be submitted in paper format. Filers should submit a request to the District Court for review of paper filings. Look to the ECF procedural guide for more details on this procedure.

Note: For moderate length paper attachments, consider dividing them into two or more smaller attachments, scanning, and filing them electronically.

6. Selecting the Filer

Use the mouse to highlight or select which party(ies) are filing the certificate of service. Click on [Next].

TRAINER NOTE:

TRAINER NOTE:

Demonstrate

how you can

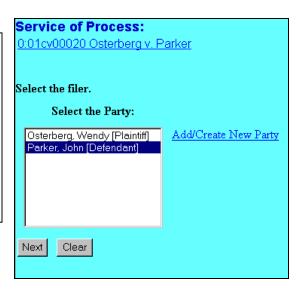
document in Windows

see the file

size of a

Explorer.

If you select the hyperlinks you will need to log into PACER.



7. Refer to an Existing Event?

This window allows the filer to link the affidavit with other documents that have been filed already. Click on the checkbox provided to select another document. Click on **[Next]**.

If this option was selected, a list of previously filed documents appears. Using the mouse, select by clicking in the checkboxes provided, which documents you wish to link the affidavit to. Click on [Next].

7. Modify docket text

TRAINER NOTE:

Boxes are small but you can type more inside the box.
No spell check.
Have them type information and view the drop-

TRAINER NOTE:

No going back and undoing anything after submitted. Must be done by the court.

TRAINER NOTE:

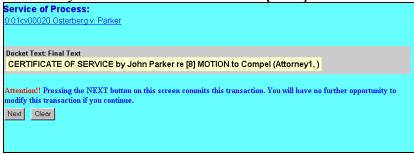
This looks just like the e-mail notice.
Don't click on the hyperlinks or you will be charged.

Service of Process: 0:01cv00020 Osterberg v. Parker Docket Text: Modify as Appropriate. CERTIFICATE OF SERVICE by John Parker re [8] MOTION to Compel (Attorney1,) Next Clear

Click in the open text area to type additional text for the description of the pleading. Use the drop-down boxes to assist in the clarifying text. Click on the [Next] button.

8. Submit the pleading.

Review the docket text and correct any errors. If you need to modify data on a previous screen, click the [Back] button on the web browser toolbar to find the screen you wish to alter. Click on the [Next] button to file and docket the pleading.



Note: The screen depicted above contains the following warning.

Pressing the NEXT button on this screen commits this transaction. You will have no further opportunity to modify this transaction if you continue.

At any time prior to this step, you can abort the ECF filing or return to previous screens by:

- clicking on any hyperlink on the **Blue** ECF menu bar *to abort* the current transaction. ECF will reset to the beginning of the process you just selected.
- clicking on the Web Browser [Back] button until *you return to the desired* screen.

9. Notice of Electronic Filing

TRAINER NOTE:

Click on the links above to show. Show how you can see who got this by e-mail and who you need to hard copy mail.

U.S. District Court

District of Minnesota

Notice of Electronic Filing

The following transaction was entered on 3/18/2004 at 0:22 AM CST and filed on 3/18/2004

 Case Name:
 Osterberg v. Parker

 Case Number:
 0:01-cv-20

 Filer:
 John Parker

Document Number: 11

Docket Text:

CERTIFICATE OF SERVICE by John Parker re [8] MOTION to Compel (Attorney1,)

The following document(s) are associated with this transaction:

Document description: Main Document

Driginal filename:n/a

Electronic document Stamp:

STAMP dcecfStamp_ID=1051215216 [Date=3/18/2004] [FileNumber=74713-0] c33885311f23c5910d413c1c13e0f31e6dbe4e46308602d5185428e0eeed0d49aaf39 a28ed1e64b5defcf5b37d1d0f3a7a5c6566b4c1c1098cfb8a2576d5bdc]]

:01-cv-20 Notice will be electronically mailed to:

Attorney1 mnd_train1@mnd.uscourts.gov, ecfattorney15000@yahoo.com

- The screen depicted above provides confirmation that ECF has registered your transaction and the pleading is now an official court document. It also displays the date and time of your transaction and the number that was assigned to your document. You should note this number on the document's PDF file.
- Select [**Print**] on the web browser toolbar to print the document receipt.
- Select [File] on the web browser menu bar, and choose Save As...from the drop-down window to save the receipt to a file on the hard drive of your computer.

Note: The **Notice of Electronic Filing** is your confirmation that the filing has been accepted. The Court strongly urges you to copy it to a file on your computer hard-drive, print it, and retain a hard copy in your personal files.

- ECF will electronically transmit the **Notice of Electronic Filing** to the attorneys and parties to the case who have supplied their E-mail addresses to the Court. The ECF filing report also displays the names and addresses of individuals who will *not* be electronically notified of the filing.
- **Note:** It is the <u>filer's</u> responsibility to serve hard copies of the pleading <u>and</u> the **Notice of Electronic Filing** to attorneys and parties who are not set up for electronic notification.

E-Mail Notification of Filed Documents

After a pleading is electronically filed, the ECF System sends a **Notice of Electronic Filing** to the designated attorneys and parties who have supplied their E-mail addresses

75

CM/ECF User's Manual (April 13, 2004)

Minnesota District Court

to the Court. Individuals who receive electronic notification of the filing are permitted one "free look" at the document by clicking on the associated hyperlinked document number embedded in the **Notice of Electronic Filing**. The filer is permitted one free look at the document to verify that the pleading was properly docketed. Pursuant to guidelines set by the Administrative Office of the U.S. Courts, the free look is only available for 30 days from the date of the filing. The Court strongly urges you to copy the **Notice of Electronic Filing** and pleading documents to your hard-drive for future access. Subsequent retrieval of the case docket sheet and pleading from ECF must be made through your **PACER** account and is subject to regular **PACER** fees.

Certificate of Service

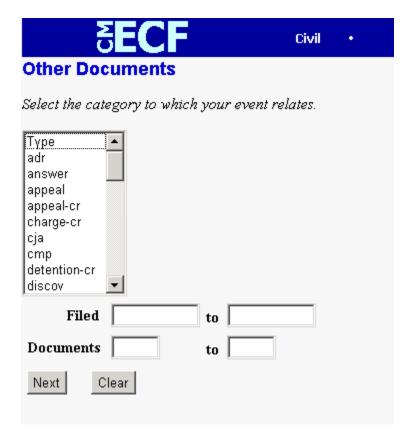
A Certificate of Service is required to be filed either at the time of the filing in ECF and attached as a PDF attachment (an example is attached to the back of this manual) or as a separate filing in the **Service of Process** hyperlink located beneath the **Initial Pleadings and Service** menu option.

Linking Documents (Refer to existing event)

Some pleadings such as Briefs and Indexes should be "linked" to their related documents in the case. When filing these and certain other types of documents you will be presented with the following screen.



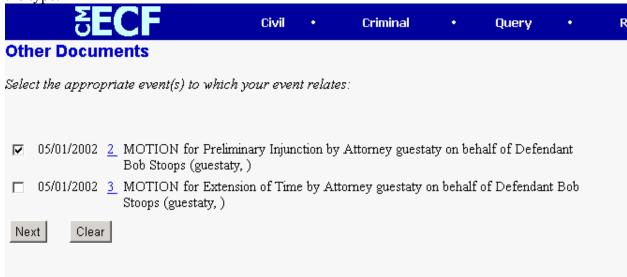
An "event" in CM/ECF is anything that has been filed in a case. To link the document you are currently filing to a previously filed document, check the box in front of "Refer to existing event(s)?" and click [Next].



This screen requires that you filter the list of documents in the case by the type of document that you want to link to. The type list appears in alphabetical order. Document types in this list are the same as the types listed in the main filing menu. For example, to link to a Brief, you would choose the type "Oth Doc" because Brief appears in the

"Other Documents" section of the main menu. You must choose a type on this screen. You also have the option of entering filed dates or document numbers if you would like to further narrow your search.

Once you select the type of document and click **[Next]** you are presented with a list of documents that match your search criteria. For this example we have selected Motion as the type.



Click the checkbox for the document you wish to link to and click [Next].

Query Feature

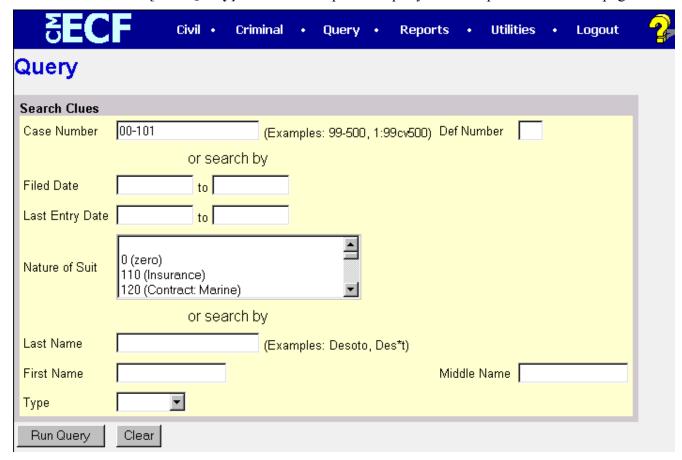
Registered participants should use this feature to query the Electronic Case Filing (ECF) system for specific case information. To enter the Query mode, click on **Query** from the **Blue** menu bar of ECF.



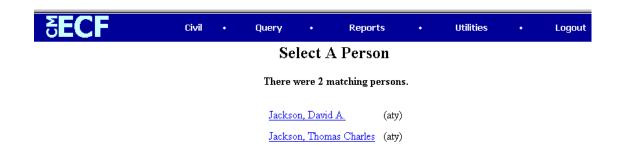
ECF opens the **PACER Login** screen. You must enter your **PACER** login and password before ECF permits you to query the ECF database.

Note: Your PACER login and password are different from your ECF login and password. You must have a PACER account in order to retrieve, view, and print certain documents.

After you enter your **PACER** login and password, ECF opens a Query data entry screen as depicted below. If you know the number that the Court has assigned to the case, enter it in the **Case Number** field and click on the [**Run Query**] button. ECF opens the query screen depicted on the next page.



You may query the ECF database by the name of a party or an attorney to the case. Enter the last name of the party in the appropriate field. If more than one person with that name is in the database, ECF returns a screen from which to select the correct If you click on the name of the party, ECF will open the query screen depicted aboveB. If the individual is a party to more than one case, ECF will open a screen listing all of the party's cases. Click on the case number hyperlink and ECF opens the query screen depicted in above.



After querying the database by case number, name, or nature of suit, ECF opens the **Query** window for the specific case you selected.

You may also query a case by the nature of suit. You must enter a range for either the filed date or the last entry date in order for the query to run. To search for all cases of a certain type, use the date 1/1/1970 as the "beginning of time." When you run the query, if there is more than one case that meet those criteria, you will get a screen similar to the one above, but listing multiple case numbers.

1-01-01465-JR HARRELL V. DISTRICT OF COLUMBIA

James Robertson, presiding

Date filed: 07/02/2001 **Date of last filing:** 08/20/2001

Query

Alias

Associated Cases

Attorney

Case Summary

Deadline/Hearing

Docket Report

Filers

History/Documents

Party

Related Transactions

Status

At the top of the window, ECF displays the case number, parties to the case, presiding Judge, date that the initial claim was filed, and date of last filing for the case. You may choose specific case information from a large list of query options by clicking on the appropriate hyperlinked name on the screen. The following paragraphs describe several of the available case-specific query options.

Alias

Displays parties who have aliases.

Associated Cases - Displays any cases associated with the specified case. Click on the number of an associated case to view its docket sheet.

Attorney

Displays the names, addresses, and telephone numbers of the attorneys who represent each of the parties to the case.

Case Summary

Provides a summary of current case-specific information as represented below.

1-01-01465-JR HARRELL V. DISTRICT OF COLUMBIA

James Robertson, presiding

Date filed: 07/02/2001 **Date of last filing:** 08/20/2001

Case Summary

Office: Washington, DC Filed: 07/02/2001

Jury Demand:BothDemand:Nature of Suit:442Jurisdiction:Federal Question

Cause: 28:1983 Civil Rights Disposition:
County: Terminated:
Origin: 1 Reopened:

Lead Case: None
Related Case(s): None
Flags: JURY, TYPE-H
Party 1: WAYNE HARRELL

Party 2: DISTRICT OF COLUMBIA (DFT)
Atty: Ruth Ann Lowery Represents Party 1: pla

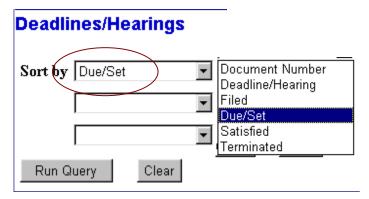
 Atty: Ruth Ann Lowery
 Represents Party 1: pla
 Phone: (202) 789-6064

 Fax:
 (202) 789-6190

Atty: David A. Jackson Represents Party 2: dft E-mail:rlowery@bdlaw.com Phone: (202) 724-6618

Deadlines/Hearings

Produces a screen that allows you to query the database by various means to obtain hearing and other schedule deadlines. If you query as illustrated below, ECF opens the **Deadline/Hearings** screen depicted on the next page.



After the window opens, if you click on a document number, ECF will display the actual Scheduling Order for the conference or hearing.

1-01-01465-JR HARRELL v. DISTRICT OF COLUMBIA

James Robertson, presiding

Date filed: 07/02/2001 Date of last filing: 08/20/2001

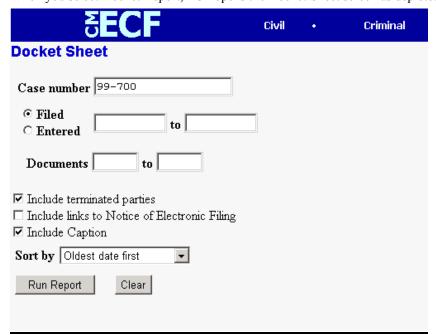
Deadlines/Hearings

Doc. No.	Deadline/Hearing	Event Filed	Due/Set	Satisfied	Terminated
7	Jury Trial	08/20/2001	07/08/2002 at 09:30 AM		
7	Final Pretrial Conference	08/20/2001	06/27/2002 at 04:30 PM		
7	Status Conference	08/20/2001	01/08/2002 at 04:30 PM		
	Meet & Confer Hearing Meet & Confer Hea	07/31/2001	08/08/2001 at 02:00 PM		08/08/2001
1	■ Answer due from DC	07/02/2001	07/23/2001	08/06/2001	

If you click on the button to the left of the Deadline/Hearing title, ECF will display the docket information and related docketing entries for the hearing that you selected.

Docket Report

When you select Docket Report, ECF opens the Docket Sheet screen as depicted below.



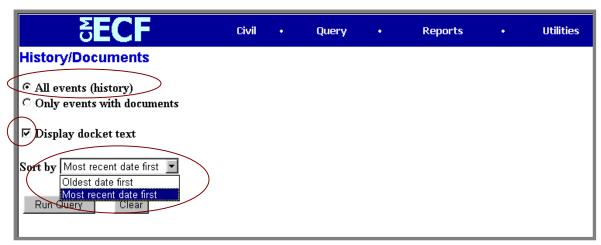
You may select a date range for your docketing report as well as a range of docketing numbers. If you leave the range fields blank, ECF will default to print the entire docketing report. Place a checkmark in the box to **Include links to Notice of Electronic Filing** if you

wish to view them from the docket sheet. After you have selected the parameters for your report, click on the **[Run Report]** button. ECF will run your custom docketing report and display it in a window as depicted.

The document numbers in the middle column are hyperlinks to PDF files of the actual documents. Place your pointer on the button next to the document number and click to display the **Electronic Notification Report** for the document.

History/Documents

This selection queries the database for case event history and documents associated with the case. After you click on the <u>History/Documents</u> hyperlink, ECF opens the screen depicted in. You may select the sort order for the query report and choose to exhibit all events or only those with documents attached. ECF also offers you the option to display the docket text in the report.



After making your selections, click on the **[Run Query]** button. ECF queries the database and builds your report. The screen capture above, depicts a portion of a **History/Documents** report. This particular report lists all of the events and documents associated with the case in reverse chronological order. It also displays the docketing text for all docketed events.

	1.	-01-01465-JR HARRELL v. DISTRICT OF COLU James Robertson, presiding Date filed: 07/02/2001 Date of last filing: 08/20/20					
		History					
Doc.	Dates	Description	Private Event	Type Subtype	Docket Part ID		
6	Filed: 08/20/2001 Extered: 08/20/2001	Meet and Confer Statement		misc mostmtX	34		
	Docket Text: MEET AND CONFER STAT	EMENT. (Lowery, Ruth)					
7	Filed: 08/20/2001 Entered: 08/20/2001	Scheduling Order		order scho	36		
	Docket Text: SCHEDULING SRDER: Final pretrial conference set for 6/27/2002 at 4:30 PM; jury trial set for 7/8/2002 at 9:30 AM; status conference set for 1/8/2002 at 4:30 PM. Signed by Judge James Robertson on August 20, 2001. (MT,)						
7	Filed: 08/20/2001 Entered: 08/20/2001	Set Deadlines		order setddl	37		
	Docket Text: SCHEDULING ORDER: Final pretrial conference set for 6/27/2002 at 4:30 PM; jury trial set for 7/8/2002 at 9:30 AM; status conference set for 1/8/2002 at 4:30 PM. Signed by Judge James Robertson on August 20, 2001. (MT,)						
	Filed: 08/08/2001 Entered: 08/08/2001	Meet and Confer Hearing		hearing mchrg	32		
	Docket Text: Minute Entry: Meet and Confer	Hearing held on 8/8/2001 before Judge James Roberts	on in chambers.	Not reported) (mlp	.)		
	Filed: 07/31/2001 Entered: 07/31/2001	Calendar Entry		utility calentry	17		
	Docket Text: Calendar Entry, Set/Reset Hear	rings: Meet and Confer Hearing set for 8/8/2001 02:00	PM sefore Judge	James Robertson ir	chambers. (mlp,		
	Filed: 07/31/2001	Set/Reset Hearings		utility	18		

You may view a PDF file of actual documents by clicking on the document number in the far-left column of the onscreen report.

Parties

Lists active and terminated parties and trustees. Current and previous attorneys for each party/trustee are also shown.

Filers

Lists all filers associated with the case. To view documents filed by a particular party, click on the filer name.

Filer screen: Displays all document numbers, event names and filing dates for the selected filer. To view a filing, click on the document number. To view docket information and related docket entries, click on the ball next to the event name. Docket Information and Related Docket Entries screen: Displays the filed and entered dates, the name of the person who entered the document, the document event name(s) and the full docket text for the selected document and any directly related document(s).

Related Transactions

Lists transactions that are related to those you specify on this screen.

D'1 1	
Filed	Enter a date range. The default of blank will list all documents.
Documents	Enter a document number range. The default of blank will list all documents.
Document Type	Select the document type from the drop-down list. The default of blank will list all documents.
Document subtype	Enter the document subtype. The default of blank will list all documents.
Pending	Select this option to include pending documents.
Terminated	Select this option to include terminated documents.
Sort by	The transactions can be sorted by: filed date, entered date, or document number.

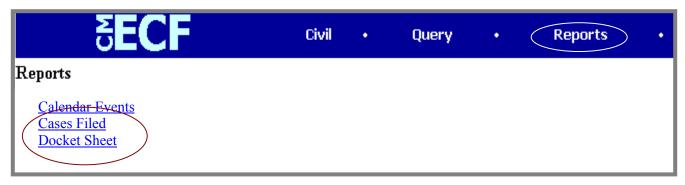
Include open excludables	Check this box if you want to include open excludables in clock calculations.
Include co- defendant excludables	Check this box if excludable delays for any co-defendant should affect all defendants. If not checked, only a defendant's own excludable delays affect his clocks.

Status

This query is currently not functioning.

Reports Feature

The Reports feature of ECF provides the user with several report options. After selecting the Reports feature from the *Blue* menu bar, ECF opens the **Reports** screen depicted below.

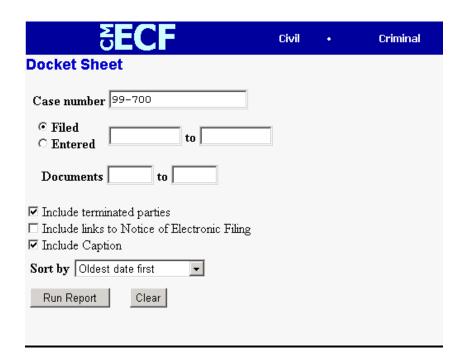


If you select Cases Filed or Docket Sheet hyperlink, ECF will ask you to login to PACER. You may view Court Calendar Events for a case without logging into PACER.

Docket Sheet

Click on the **Docket Sheet** hyperlink and ECF opens the **PACER** login screen.

Enter your **PACER** login and password. Click on the **[Login]** button and ECF will open the Docket Sheet report query window depicted below.



This is the same query window that ECF displayed when you selected **Docket Report** from the **Query** feature). Enter the case number for your docket sheet in the **Case Number** field. Select parameters for the remainder of the data entry fields and click on the **[Run Report]** button. ECF will display a full docket sheet for the case you selected. See the **Query** feature section of this manual for a depiction of a partial docket sheet report. If you do not need a complete docket sheet, you can narrow the query using the date filed/entered fields. ECF also offers various sorting options from the Docket Sheet query screen.

Civil Cases Report

The **Civil Cases** report provides you with the flexibility to query the ECF database to locate cases electronically filed within a specific date range, or by Nature of Suit and Cause Code. When you click on the **Civil Cases** hyperlink, ECF displays a query screen as depicted here.

View just cases on Appea l



Note: If you are not logged into **PACER**, ECF will display the **PACER** login screen. Login to **PACER** and ECF will open the **Civil Cases Report** screen.

Enter the range of case filing dates for your report and select a Nature of Suit or Cause Code, if you wish to narrow your search. If you leave all fields blank, ECF will display a report for all cases opened in ECF. This picture depicts part of a report of all cases filed in ECF at the U.S. District Court from 9/23/2002 to 10/23/2002.

	Civil Cases Repor	rt					
Version 1 — U.S. District Court of Nebraska							
	Filed Report Period: 09/23/2002	- 10/23/2002					
Case Number/ Title	Date Filed/ Reopened/ Added	Date Closed/ Reclosed	Days Pending	Notes			
4:02-cv-03257 Anderson et al v. Gale	Filed: 09/23/2002			Cause: 28:1343 Violation of Civil Rights NOS: Civil Rights: Voting Office: 4 Lincoln Presider: Richard G. Kopf Referral: David L. Piester Jury demand: None			
4.02-cv-03258 Lujan v. Kenney	Filed: 09/23/2002			Cause: 28:2254 Petition for Writ of Habeas Corpus (State) MOS: Habeas Corpus (General) Office: 4 Lincoln Presider: Lyle E. Strom Referral: Kathleen A. Jaudzemis Jury demand: None Case Flags: HABEAS			
4:02-cv-03259 USA v. Woolley	Filed: 09/23/2002			Cause: 26:7401 IRS: Tax Liability NOS: Taxes Office: 4 Lincoln Presider: Richard G. Kopf Referral: David L. Piester Jury demand: None			
4.02-cv-03260 Moore v. Lincoln	Filed: 09/24/2002			Cause: 42:2000e Job Discrimination (Employment) NOS: Civil Rights: Other Office: 4 Lincoln Presider: Warren K. Urbom Referral: David L. Piester Jury demand: Plaintiff			

The far-left column of the Cases Filed report contains hyperlinked case numbers. If you click on a particular case number, ECF opens the **Docket Sheet** report window from which you can retrieve the docket sheet for the selected case. Follow the instructions in the previous section for a Docket Sheet report.

Judgment Index Report

The Judgment Index Report shows information about selected judgments, including

whom the judgment was for and against and the date, amount, interest rate, and court costs for each judgment. The report may be generated by case number, party name, or date range.

New Case Report

The new cases report is similar to what is available on the U.S. District Court's external website. This is a chronological sorted list of the newest cases opened with the District Court, District of Minnesota

Utilities Feature

The **Utilities** feature provides the means for registered users to maintain their account in ECF and to view all of their ECF transactions.

Your Account Miscellaneous ECF Login Legal Research ... Maintain Your Account Mailings... View Your Transaction Log Verify a Document Change Client Code Verify a Document Change Your PACER Login Review Billing History Show PACER Account

Your Account

This section of the **Utilities** feature provides you the capability to maintain certain aspects of your ECF account with the Court and to view a log of all your transactions within ECF.

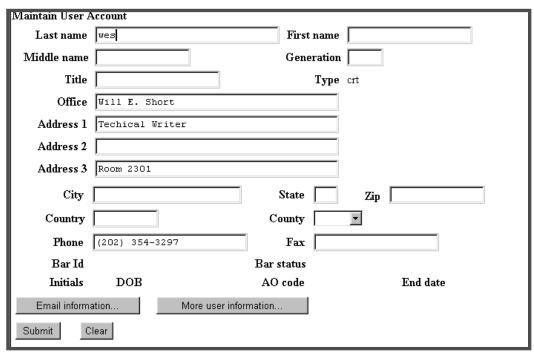
ECF Login

Allows the filer to log out of the ECF system and prompts you for a new login and password.

Click

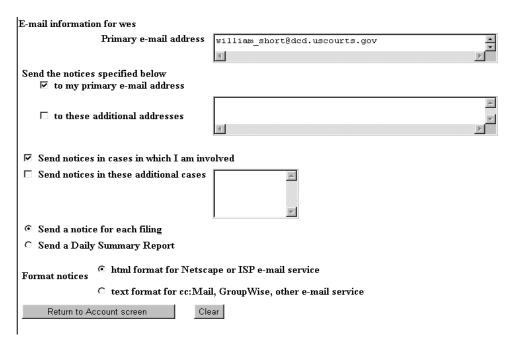
Maintain Your Account

Click on the <u>Maintain Your Account</u> hyperlink to open the <u>Maintain User</u> Account information screen.



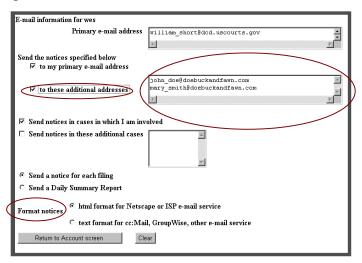
This screen displays all of the registration information that is contained within the ECF database for your account with the Court. This includes Bar Identification and Bar status.

Clicking on the [E-mail information] button opens the following screen.



ECF will E-mail to parties their **Notices of Electronic Filing** based upon the information entered in this screen. Perform the following steps to enter additional e-mail addresses for individuals that you wish ECF to notify regarding new case pleadings and documents.

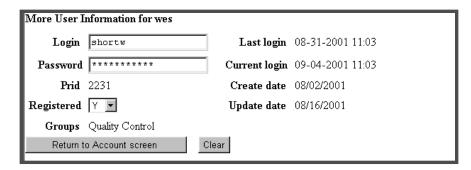
- From the screen depicted above, enter a check mark by clicking on the box to the left of the line, which reads "to these additional addresses".
- Enter the e-mail addresses of those individuals you wish to notify concerning ECF activity. Enter up to two additional e-mail addresses you wish to also receive notification of case activity. Keep in mind that only one of the two, the first one to click on the e-mail notification hyperlink first, will receive an additional free peek at the documents. The second person from the back-up list to access the will be charged to view the document.



- Stipulate the format of the ECF notices by selecting your choice from the bottom of the screen.
- If you wish to enter completely new information about your account, use the [Clear] button to clear the fields on this screen.

After updating your account information, click on the [Return to Account screen] button to return to your Maintain User Account screen

To edit or view login information about your account, select the button labeled **More user information**, from the **Maintain User Account** screen. ECF opens the screen depicted below.



This screen displays user login information and provides the means to change your ECF password. Notice that ECF displays a string of asterisks in the **Password** field. To change your ECF password, place your cursor in the **Password** field and delete the asterisks. Type in your new password. (Remember passwords are case sensitive.) ECF displays the actual characters of your new password as you type. When you have completed your interface with ECF from this screen, click on the **[Return to Account screen]** button to reopen the **Maintain User Account** screen. When you are satisfied that all of your account information is accurate and up-to-date, click on the **[Submit]** button at the bottom of the **Maintain User Account** screen to submit your changes to ECF. ECF will notify you onscreen that your updates were accepted. If you changed passwords, you may begin using the new password during your next ECF session.

View Your Transaction Log

From the **Utilities** screen, click on the **[View your Transaction Log]** button. ECF opens a screen with two fields for entering the Date Selection Criteria for a Transaction Log Report. Enter the date range for your report and click on the **[Submit]** button. ECF displays a report of all your transactions in ECF within the date range you specified for Date Selection Criteria. For a sample transaction log report look below.

Use this feature of ECF to review your transactions and to verify that:

	Transaction Log Report Period: 08/16/2001 - 09/04/2001					
Id	Date	Case Number	•			
3212	08/22/2001 15:45:54	01-4011	First MOTION for Permanent Injunction by ARLENE ACKERMAN. Responses due by 9/4/2001. Replies due by 9/11/2001. (Attachments: # (1) Exhibit Test Document)(wes,)			
3326	08/27/2001 11:44:12	01-4011	First MOTION for Preliminary Injunction by ARLENE ACKERMAN. Responses due by 8/30/2001. Replies due by 8/30/2001. (wes,)			
3330	08/27/2001 12:01:51	01-4011	RESPONSE in Opposition re [2] filed by DE'MTTRIA RICE. (wes,)			
3331	08/27/2001 12:05:13	01-4011	ORDER temporarily granting Motion for Preliminary Injunction [2] . Motion referred to fhsaklf . Signed by Judge sullivan emmett g on 08/28/01. (wes,)			
3335	08/27/2001 14:23:52	01-4011	RESPONSE to Motion re [2] Preliminary Injunction filed by DE'MITRIA RICE. (Attachments: # (1) Exhibit Exhibits are being held in the Clerk's office in paper format)(wes,)			
3336	08/27/2001 14:30:43	01-4011	RESPONSE to Motion re [1] Permanent Injuction filed by ARLENE ACKERMAN. (Attachments: # (1) Exhibit exhibits are being held in the Clerk's office in paper)(wes,)			
3337	08/27/2001 15:49:55	01-4011	Second MOTION for Preliminary Injunction by plaintiff for defendent to cease and desist the assignment of plaintiff to cafeteria duty by ARLENE ACKERMAN. Responses due by 8/31/2001. Replies due by 8/31/2001. (wes,)			
3338	08/27/2001 16:18:42	01-4011	MOTION for Preliminary Injunction to cease and desist from assigning plaintiff to work as a cafeteria monitor by DE'MITRIA RICE. Responses due by 8/31/2001. Replies due by 8/31/2001. (wes,)			
3339	08/28/2001 10:19:15	01-4011	Second MOTION for Preliminary Injunction to cease and desist the assignment of plaintiff to cafeteria monitor by DE'MITRIA RICE. Responses due by 9/10/2001. Replies due by 9/14/2001. (wes,)			
3431	09/04/2001 14:21:26		Updated person record: wes Prid: 2231			
3431	09/04/2001 14:21:27		Updated user record: shortw 2231			
Total Number of Transactions: 11						

- all of the transactions you entered are reflected in the Transaction Log
- no unauthorized individuals have entered transactions into ECF using your login name and password.

View Your Transaction Log

From the **Utilities** screen, click on the [Change Your PACER Login] link. ECF brings you to the **PACER** login window.

Change Client Code

This feature allows the PACER billing client code to change to a different code.

Change PACER Login

Attorneys and filers can use this option to switch between more than one PACER accounts.

Show PACER Account

Brings filers back to the login screen.

Miscellaneous

ECF provides three **Miscellaneous** functions within the **Utilities** feature of the system.

- Legal Research
- Mailings

• Verify a Document

When you click on the <u>Legal Research</u> hyperlink from the **Miscellaneous** screen, ECF opens a new screen that contains hyperlinks to a Law Dictionary, a Medical Dictionary, and Westlaw via the Internet. Select the <u>Verify a Document</u> hyperlink to open a query screen and enter data in the screen fields to locate a particular document attached to a specific case number. There is also a <u>Mailings</u> hyperlink that opens a new screen for making or requesting mailings from ECF.

Logout

After you have completed all of your transactions for a particular session in ECF, you should exit from the system.



Click on the <u>Logout</u> hyperlink from the ECF <u>Blue</u> menu bar. ECF will log you out of the system and return you to the ECF login screen as depicted earlier this manual.

Additional Information Regarding ECF

Sealed Documents

Continue to file sealed documents at the District Court Clerk's office in accordance with the existing practices and procedures for conventional filing.

Certificate of Service Sample

IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MINNESOTA

SAMPLE FORMATS - CERTIFICATE OF SERVICE

Sample

I hereby certify that on (date), I electronically filed the foregoing with the clerk	
of the court by using the CM/ECF system which will send a notice of electronic	
filing to the following:	ſ
further certify that I mailed the foregoing document and the notice of electronic	
filing by first-class mail to the following non-CM/ECF	
participants:	

s/ Attorney's Name

Attorney's Name
Attorney's Bar Number
Attorney for (Plaintiff/Defendant)
Law Firm Name
Law Firm Address
Phone Number
Fax Number
Attorney's E-mail Address

Filing Options for Attorneys

CIVIL

Initial Pleadings and Service

Service of Process

Acknowledgment of Service

Affidavit of Service

Certificate of Service

Request for Waiver of Service

Service by Publication

Summons Returned Executed

Summons Returned Executed as to USA

Summons Returned Unexecuted

Waiver of Service Executed

Waiver of Service Unexecuted

Writ of Habeas Corpus ad Prosequendum Executed

Writ of Habeas Corpus ad Prosequendum Unexecuted

Writ of Habeas Corpus ad Testificandum Executed

Writ of Habeas Corpus ad Testificandum Unexecuted

Other Answers

Affidavit in Opposition

Affidavit in Support

Amended Answer to Complaint

Answer to Complaint (Notice of Removal)

Answer to Writ of Garnishment

Claim

Disclaimer

Withdrawal of Claim

Motions and Related Filings

Motions

Alter Judgment

Amend/Correct

Appeal In Forma Pauperis

Appear

Appoint Counsel

Appoint Custodian

Appoint Expert

Appoint Guardian/Attorney ad Litem

Appoint Receiver

Approve Consent Judgment

Associate Attorney

Attorney Fees

Bifurcate

Bill of Costs

Bond

Certificate of Appealability

Certify Class

Change Venue

Compel

Consolidate Cases

Continue

Declaration of Mistrial

Declaratory Judgment

Default Judgment

Deposit Funds

Directed Verdict

Disbursement of Funds

Disclosure

Discovery

Dismiss

Dismiss/Lack of Jurisdiction

Dismiss/Lack of Prosecution

Disqualify Counsel

Disqualify Judge

Disqualify Juror

Enforce Judgment

Entry of Default

Entry of Judgment

Expedite

Extend

Extension of Time to Amend

Extension of Time to Complete Discovery

Extension of Time to File Answer

Extension of Time to File Document

Extension of Time to File Response/Reply

File Excess Pages

Forfeiture of Property

Hearing

In Limine

Intervene

Issuance of Warrant in rem

Joinder

Judgment Debtor Exam

Judgment NOV

Judgment as a Matter of Law

Judgment of Forfeiture

Judgment on Partial Findings

Judgment on the Pleadings

Judgment under Rule 54(b)

Leave to Appeal

Leave to File Document

Letters Rogatory

Limited Admission

Miscellaneous Relief

More Definite Statement

New Trial

Order of Sale

Partial Summary Judgment

Permanent Injunction

Preliminary Injunction

Proceed In Forma Pauperis

Produce

Protective Order

Ouash

Reassign Case

Reconsideration

Recusal

Release of Bond Obligation

Release of Funds

Remand

Reopen Case

Return of Property

Sanctions

Seal

Seal Case

Seal Document

Sealed Motion

Service by Publication

Set Aside

Set Aside Default

Set Aside Forfeiture

Set Aside Judgment

Set Aside Verdict

Settlement

Sever

Show Cause

Stay

Strike

Substitute Attorney

Substitute Party

Summary Judgment

Take Deposition

Taxation of Costs

Temporary Restraining Order

Transfer

Unseal Case

Unseal Document

Vacate

Withdraw

Withdraw Reference

Withdraw as Attorney

Writ

Writ of Garnishment

Writ of Habeas Corpus ad prosequendum

Writ of Habeas Corpus ad testificandum

Writ of Mandamus

for Contempt

for Judgment

for Order

Other Filings

Notices

Certificate of Counsel

Notice (Other)

Notice of Acceptance with Offer of Judgment

Notice of Appearance

Notice of Application for Writ

Notice of Attorney Appearance/Substitution

Notice of Change of Address

Notice of Filing Bankruptcy

Notice of Filing Petition for Certiorari

Notice of Hearing on Motion

Notice of Lis Pendens

Notice of Settlement

Notice of Voluntary Dismissal

Trial Documents

Agreement for Jury Verdict

Exhibit

Exhibit List

Proposed Findings of Fact

Proposed Jury Instructions

Proposed Voir Dire

Trial Brief

Witness List

Appeal Documents to USCA

Appeal Transcript Request

Appeal of Magistrate Judge Decision to District Court

Appellants Brief

Appellants Reply Brief

Appellees Brief

Designation of Record on Appeal

Notice of Appeal

Notice of Cross Appeal

Notice of Interlocutory Appeal

Subsequent Notice of Appeal

Other Documents

Affidavit

Amended Document (NOT Motion)

Amicus Curiae Appearance

Application for Writ

Bill of Costs

Consent to Magistrate Judge Disposition on Motion

Declaration

Errata

Financial Affidavit

Financial Affidavit - CJA 23

Interpleader

Jury Demand

Objection to Report and Recommendations

Objections to Answer to Writ

Partial Satisfaction of Judgment

Pretrial Memorandum

Proposed Pretrial Order

Redacted Document

Request

Response to Order to Show Cause

Rule 7.1 - Disclosure Statement

Satisfaction of Judgment

Settlement Agreement

Special Assessment Paid in Full

Statement of Case

Status Report

Stipulation

Stipulation of Dismissal

Suggestion of Bankruptcy

Suggestion of Death Supplement Transcript Request

Social Security

Social Security Answers

Answer to Social Security Complaint

Social Security

Return of service of Social Security complaint Social Security Transcript Remark Social Security brief by defendant Social Security brief by plaintiff Social Security notice Social Security replay brief by plaintiff Submission of administrative record Supplemental Social Security transcripts